#### I Mina'trentai Sais Na Liheslaturan Guåhan BILL STATUS

BILL NO.	SPONSOR	TITLE	DATE INTRODUCED	DATE REFERRED	CMTE REFERRED	PUBLIC HEARING DATE	DATE COMMITTEE REPORT FILED	FISCAL NOTES	NOTES
209-36 (COR)		AN ACT TO ADOPT THE PROPOSED AMENDMENTS TO ARTICLE 5 OF CHAPTER 4, TITLE 26, GUAM ADMINISTRATIVE RULES AND REGULATIONS, AS TRANSMITTED TO I LIHESLATURAN GUÅHAN PURSUANT TO THE ADMINISTRATIVE ADJUDICATION LAW, ATTACHED HERETO AS EXHIBIT A.	4:33 p.m.						EXHIBIT A.

## I MINA'TRENTAI SAIS NA LIHESLATURAN GUÅHAN 2021 (FIRST) Regular Session

Bill No. 209-36 (COR)

Introduced by:

Therese M. Terlaje

AN ACT TO ADOPT THE PROPOSED AMENDMENTS TO ARTICLE 5 OF CHAPTER 4, TITLE 26, GUAM ADMINISTRATIVE RULES AND REGULATIONS, AS TRANSMITTED TO *I LIHESLATURAN GUÅHAN* PURSUANT TO THE ADMINISTRATIVE ADJUDICATION LAW, ATTACHED HERETO AS EXHIBIT A.

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### **BE IT ENACTED BY THE PEOPLE OF GUAM:**

2 Legislative Findings and Intent. I Liheslaturan Guahan finds Section 1. 3 that the Department of Public Health and Social Services transmitted its proposed 4 rules and regulations governing the issuance of sanitary permits to the Legislature 5 on August 10, 2021, pursuant to the Administrative Adjudication Law. The proposal 6 seeks to insert a monetary penalty for regulated businesses that blatantly and 7 repeatedly violate sanitation requirements and revise the fee schedule for the 8 issuance of sanitary permits, which will allow the recruitment of more inspectors for 9 the Division of Environmental Health via increased revenue into the Environmental Health Fund. I Liheslaturan Guåhan further finds that DPHSS is authorized to 10 11 promulgate rules and regulations for administrative penalties pursuant to 10 GCA, 12 Chapter 20, §20118. Because of the adverse economic impact of COVID-19 13 pandemic, DPHSS has proposed that the revised fee schedule in the proposal be effective 365 days after the end of the Governor's public health emergency
 declaration or after these Rules and Regulations adoption, whichever is later.

3 It is the intent of *I Liheslaturan Guåhan* to adopt the rules and regulations 4 together with the Department of Public Health and Social Services' 5 recommendations as shown in EXHIBIT A.

6 Section 2. I Liheslaturan Guåhan does hereby adopt the proposed 7 amendments to Article 5 of Chapter 4, Title 26, Guam Administrative Rules and 8 Regulations, relative to the "Rules and Regulations Governing the Issuance of 9 Sanitary Permits" as transmitted to I Liheslaturan Guåhan pursuant to the 10 Administrative Adjudication Law, attached hereto as EXHIBIT A.

11 Section 3. Effective Date. This Act shall be effective upon enactment 12 except the amendments to §4506 of Article 5, Chapter 4, 26 GARR which will be 13 effective on October 30, 2022.

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## EXHIBIT "A"

1 2 3 4	GOVERNMENT OF GUÅHAN DEPARTMENT OF PUBLIC HEALTH & SOCIAL SERVICES DIVISION OF ENVIRONMENTAL HEALTH
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15	<b>RULES AND REGULATIONS GOVERNING THE</b>
16	ISSUANCE OF SANITARY PERMITS
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	Title 26 Guam Administrative Rules and Regulations
24 25	
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1	§4501. Purpose. The purpose of these rules and regulations is to govern the issuance,
2	fees, and administrative penalty for Sanitary Permits.
3	§4502. Authority. Section 20105 of Chapter 20 and Section 21102 of Chapter 21, Title
4	10 Guam Code Annotated authorizes the Director of the Department of Public Health and Social
5	Services to promulgate rules and regulations necessary to carry out the provisions of the law
6	governing the sanitary operations of health-regulated establishments. Section 20118 of Chapter
7	20 of Title 10 Guam Code Annotated authorizes the Department to promulgate rules and
8	regulations for administrative penalties.
9	§4503. Title. These rules and regulations shall also be known and cited as the Sanitary
10	Permit Rules and Regulations.
11	§4504. Definitions. As used in these rules and regulations:
12	(a) Chemical Toilet shall mean a building or structure housing one (1) or more water-
13	tight containers of liquid chemical disinfectants intended to receive and hold human
14	excrement.
15	(a) Cosmetic Establishment shall mean any premises or portion thereof, wherein any
16	of the following is practiced for compensation:
17	(1) Shaving, clipping, trimming, or cutting human hair;
18	(2) Singeing, shampooing, arranging, adorning, dressing, curling, waving,
19	permanent waving, tinting, applying tonic to or dyeing human hair;
20	(3) Giving facial, scalp, neck or body massages or treatments with oils, creams,
21	lotions, or other preparations either by hand or mechanical appliances;
22	(4) Applying cosmetic preparations, antiseptics, powders, oils, clays, lotions, or
23	other preparations to scalp, face, neck, or hands; or

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(5) Manicuring or pedicuring.

2 (b) *Department* shall mean the Department of Public Health and Social Services of the 3 government of Guam.

- 4 (c) *Director* shall mean the Director of the Department of Public Health and Social 5 Services, or his designated representative.
- 6 (d) Dry <u>Cleaning Establishment</u> shall mean any place, building, structure, room,
  7 premises, or portions thereof, used in the business of dry cleaning and dyeing of wearing apparel,
  8 household linens and other articles, including coin-operated dry-cleaning establishments.
- 9 (e) *Eating and Drinking Establishment* shall mean any food service establishment, 10 mobile food service establishment, or vending machine.
- (f) Edible Garbage Feeding Establishment shall mean swill or leavings of food or any abandoned, spoiled, condemned meat, fish, fowl, vegetable matter, or offal from slaughtered animals, liquid or solid, which is free of toxic, or deleterious substances, and is deemed by the Director as being suitable for use solely as animal feed. a farm, ranch, facility, premises, site, or any place approved by the Director for operation to process edible garbage for the purpose of livestock feeding.

17 (g) *Employee* shall mean any individual, including the owner, operator, manager, or 18 other person performing any function in a health-regulated establishment, whether for 19 compensation or otherwise.

(h) *Food Establishment* shall mean and includes every establishment or place which is
 used or occupied as a bakery, confectionary, cannery, dairy, creamery, packing house, grocery,
 supermarket, meat or poultry market, fruit or vegetable market, delicatessen, beverage plant,
 slaughterhouse, poultry processing plant, fish processing plant, frozen food processing plant,

public market, food warehouse, or for the production, processing, manufacture, preparation for
 sale, canning, bottling, packing, packaging, storage, sale, or distribution of food.

(i) *Health-Regulated Establishment* shall mean any (1) eating and drinking
establishment; (2) food establishment; (3) institutional facility; (4) hotel; (5) cosmetic
establishment; (6) laundry and dry-cleaning establishment; (7) public swimming pool; (8)
mortuary; (9) edible garbage feeding establishment; (10) chemical toilet; and (10) any other
establishment required to possess a Sanitary Permit issued by the Department.

8 (j) *Hotel* shall mean any structure or any portion of any structure, including any 9 lodging house, rooming house, dormitory (including a dormitory housing for contract employees), 10 health spa, bachelor hotel, studio hotel, motel, private club, containing four (4) or more guest 11 rooms and which is occupied or is intended or designed for occupancy, by four (4) or more guests, 12 whether rent is paid in money, goods, labor, or otherwise, or whether with or without meals. It 13 does not include any penal institutions, hospital, clinic, nursing home, school, laboratory, or child 14 care facility.

(k) Institutional Facility shall mean any structure or any portion of any structure
operating as a child care facility, penal institution, school, hospital, clinic, nursing home, or
laboratory as defined in Title 10 GCA, Chapter 25.

(1) Mortuary shall mean any place used for such activities as are incident, convenient,
 or related to the preparation and arrangements for the funeral, transportation, burial, cremation, or
 other disposition of dead human bodies.

(m) <u>Public Laundry and Dry Cleaning Establishment</u> shall mean any place, building,
 structure, room, establishment, premises or portions thereof, used in the business of making,
 sorting, washing, drying, starching, ironing, or wearing apparel, household linens and other

articles, including any establishment providing laundering equipment for use by customers for a
 fee, and known by various terms such as laundromat, wash-o-mat, or launderette.

Public Swimming Pool shall mean any artificial structure, basin, chamber, or tank 3 (n) constructed of impervious material used or intended to be used for swimming, diving, wading, or 4 recreational bathing (but does not include conventional bath-tubs where the primary purpose is the 5 cleaning of the body or individual therapeutic tubs) and that is available for public use, whether 6 for a fee or free of charge; or owned or used by any business, partnership, corporation or person 7 for the use of their customers, clients, guests or employees, including, but not limited to, a 8 commercial pool, a community pool or a pool at a hotel, motel, resort, auto park, trailer park, 9 apartment house, or other multiple rental unit, private club, public club, public or private school, 10 gymnasium or health establishment. 11

12 (o) Sanitary Permit shall mean the official document issued by the Department of 13 Public Health and Social Services authorizing a health-regulated establishment to operate its 14 business.

(p) Temporary Food Service Establishment (also known as TFSE) shall mean any eating and drinking establishment which operates at a fixed location for a period of time not exceeding six (6) months 1 to 180 days in conjunction with a carnival, fair, circus, exhibition, or other transitory gathering not of a permanent structure, which are further described and identified below based on its duration of operation:

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### (1) *TFSE-1* which shall be those operating for 1 day only;

- (2) <u>TFSE-W which shall be those operating for 1 day, every week, for a year;</u>
- 22 (3) <u>TFSE-7 which shall be those operating for up to 7 days, but not less than two</u>
   23 consecutive days;

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- (4) TFSE-180 which shall be those operating for up to 180 consecutive days; and
- (5) <u>TFSE-X</u> which shall be those operating in various combination of days not defined elsewhere; provided, it does not exceed 180 days.

4 (q) Special Village Event shall mean a carnival, fair, circus, exhibition, or other 5 transitory gathering sponsored by the village Municipal Planning Council, not to exceed seven (7) 6 consecutive days.

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### §4505. Sanitary Permit Applications for New and Renewal.

8 (a) All applications, and supporting documents, for a Sanitary Permit shall be in a
9 form, format, and medium prescribed by the Director.

10 (b) A non-refundable deposit of <del>Twenty</del> Thirty Dollars (\$20.00\$30.00) shall be made 11 to the 'Treasurer of Guam' at the time the application for Sanitary Permit is submitted to the 12 Department. Upon completion and processing of the application, the deposit amount shall be 13 deducted from the appropriate fee listed in §4506. In the event the calculated, prorated fee for the 14 <u>Sanitary Permit is less than the non-refundable deposit then the applicant shall pay the prorated</u> 15 <u>fee as the deposit.</u>

(c) Any application that remains inactive for sixty (60) consecutive days <u>because of</u>
 the inaction by the applicant (i.e., pending submission of required supporting documents), shall be
 considered permanently inactive, removed from the file for processing, and then destroyed, and
 the non-refundable <del>Twenty Dollars (\$20.00)</del> deposit shall be automatically forfeited.

(d) An applicant who wishes to re-apply after his or her application has become
 permanently inactive under the provision of §4505(c) shall be considered a new applicant and shall
 re-submit the application and supporting documents and pay all required fees, including the
 Twenty Dollars (\$20.00) non-refundable deposit fee.

Failure to renew a Sanitary Permit within sixty (60) days of the due date shall void 1 (e) the Sanitary Permit. A valid Sanitary Permit may not be reinstated until a new application is filed 2 and approved by the Department and payment is received, along with any other fees and monetary 3 4 penalty. A health-regulated establishment that fails to renew its Sanitary Permit for two 5 (f) consecutive renewal periods shall be considered inactive, and any application received thereafter 6 for that establishment shall be treated as a new application and meet all the requirements as a new 7 8 applicant. The expiration date of a Sanitary Permit issued for a Temporary Food Service 9 (g) Establishment shall be the final date of the temporary event. 10 Any Temporary Food Service Establishment or Special Village Event that meets 11 (h) criteria, as determined by the Department, based on the event's anticipated number of people 12 attending, including highly susceptible populations; expected number of vendors; and types of 13 food to be served or offered for sale must provide at least one designated, reserved parking space 14 near the event and a sheltered booth to be utilized by the Department for the duration of the event 15 by the organizer. The designated parking space shall be no further than the nearest parking made 16 available to the event organizer and/or the public, whichever is closer, and the booth shall have, at 17 a minimum, a table for writing that is no less than 24 sq. ft. in size, two chairs, and an overhead 18 protection from the elements, such as a canopy or other covering. 19 **§4506.** Fees. Fees for the issuance of a Sanitary Permit shall be as follows: 20 Excluding TFSE, the Sanitary Permit fee for all health-regulated establishments 21 (a) shall be the following, which shall be pro-rated based on the annual renewal date of the permit: 22

1	(1) <u>Three Hundred Sixty-Eight Dollars (\$368.00)</u> , effective immediately upon
2	the adoption of these rules and regulations, if the establishment has 1 to 10 employees. For
3	health-regulated establishments with 11 or more employees, Five Dollars (\$5.00) shall be
4	assessed for each additional employee above 10.
5	(2) Four Hundred Sixty-Eight Dollars (\$468.00) on the second anniversary of
6	these rules and regulations adoption, if the establishment has 1 to 10 employees. For
7	health-regulated establishments with 11 or more employees, Five Dollars (\$5.00) shall be
8	assessed for each additional employee above 10.
9	(3) Five Hundred Sixty-Eight Dollars (\$568.00) on the third anniversary of these
10	rules and regulations adoption, if the establishment has 1 to 10 employees. For health-
11	regulated establishments with 11 or more employees, Five Dollars (\$5.00) shall be assessed
12	for each additional employee above 10.
13	(4) Six Hundred Sixty-Eight Dollars (\$668.00) on the fourth anniversary of these
14	rules and regulations adoption, if the establishment has 1 to 10 employees. For health-
15	regulated establishments with 11 or more employees, Five Dollars (\$5.00) shall be assessed
16	for each additional employee above 10.
17	(5) Seven Hundred Sixty-Eight Dollars (\$768.00) on the fifth anniversary of
18	these rules and regulations adoption, and thereafter, if the establishment has 1 to 10
19	employees. For health-regulated establishments with 11 or more employees, Five Dollars
20	(\$5.00) shall be assessed for each additional employee above 10.
21	(b) <u>The Sanitary Permit fee for Temporary Food Service Establishments shall be:</u>
22	(1) Fifty Dollars (\$50.00) for TFSE-1;
23	(2) <u>One Hundred Fifty-Five Dollars (\$155.00) for TFSE-W;</u>

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1	(3) <u>One Hundred Twenty-Five Dollars (\$125.00) for TFSE-7:</u>
2	(4) <u>Two Hundred Dollars (\$200.00) for TFSE-180;</u>
3	(5) <u>Three Hundred Dollars (\$300.00) for TFSE-X; and</u>
4	(6) <u>Twenty-Five Dollars (\$25.00) for Special Village Events officially sponsored</u>
5	by a village Municipal Planning Council, provided, however, that the vendor shall be
6	limited to three (3) events per calendar year per permit issued.
7	(c) <u>The Sanitary Permit fee for vending machines shall be:</u>
8	(1) Five Hundred Dollars (\$500.00) per vending machine for each of the first
9	style or model of vending machine; and
10	(2) <u>Ten Dollars (\$5.00 \$10.00) for each additional vending machine.</u>
11	(d) The Sanitary Permit fee for chemical toilet operator shall be Five Hundred Dollars
12	(\$500.00) regardless of the number chemical toilets possessed by the operator.
13	(d) <u>Issuance of a duplicate Sanitary Permit shall be Ten Dollars (\$10.00).</u>
14	(e) <u>Amendments to an existing Sanitary Permit shall be Ten Dollars (\$10.00).</u>
15	(f) An applicant for a Sanitary Permit may request for the permit to be expedited and
16	processed within 24 hours the request is submitted. The Department may grant such request
17	provided the applicant has met all the requirements of the Department to obtain a Sanitary Permit,
18	including the requirement for the Pre-Operational Inspection. The Department shall assess a fee
19	One Hundred Dollars (\$100.00) for expedited processing in addition to the regular processing fee.
20	Applications for a Sanitary Permit for Temporary Food Service Establishments which are
21	submitted one day or less prior to the event will automatically be assessed an expedited processing
22	fee of Fifty Dollars (\$50.00), in addition to the regular processing fee.

1	(g) The cost of the Pre-Operational Inspection is included in the initial payment for the
2	Sanitary Permit. However, a fee of Fifty Dollars (\$50.00) an hour shall be assessed for all
3	subsequent inspections. With the exception of the first hour, fractional hours shall be rounded up
4	to the nearest whole hour if more than one half (0.5) hour, and rounded down if less than one half
5	<u>(0.5) hour.</u>
6	(h) Request for an expedited Pre-Operational Inspection may be granted, as determined
7	by the Director, if such inspection does not cause the disruption of any pre-existing inspections
8	scheduled for other applicants. The fee for expedited Pre-Operational Inspection shall be Two
9	Hundred Dollars (\$200.00) in addition to all other required fees established in these rules and
10	regulations if performed during normal Government of Guam business hours (8:00 am - 5:00 pm;
11	Monday through Friday). Expedited Pre-Operational Inspection conducted outside normal
12	business hours, including weekends, shall be Five Hundred Dollars (\$500.00).
13	(i) Any establishment that has its Sanitary Permit suspended under the provision of
14	<u>Title 10 GCA, Chapter 21, §21109:</u>
15	(1) That requests and obtains a re-inspection from the Department that results
16	in the finding that it had not corrected all of its violations shall be assessed a fee of One
17	Hundred Twenty-Five Dollars (\$125.00), which must be paid by the establishment prior to
18	any subsequent re-inspection by the Department.
19	(2) That requests to be re-inspected outside normal Government of Guam
20	business hours (8:00 a.m. to 5:00 p.m.; Monday through Friday) may submit a request to
21	the Department, and if approved, shall be assessed a flat fee of Three Hundred Dollars
22	(\$300.00). For any Government of Guam holiday, the fee shall be Six Hundred Dollars
23	(\$600.00), if the request is approved. Payment shall be made on the first business day

1	immediately after the inspection, and if such payment is not made, the Department may re-
2	suspend the Sanitary Permit immediately without any advanced notice and assess all other
3	applicable fees. If the re-inspection results in the non-reinstatement of the Sanitary Permit
4	(i.e., failed inspection) and the establishment fails to make the requirement payment, the
5	Department shall not conduct another re-inspection until such time all outstanding fees
6	have been paid by the establishment.
7	(j) <u>Request for a follow-up sanitation inspection, prior to the date noted in the</u>
8	inspection report, may be granted by the Department if resources permit. The fee for early follow-
9	up sanitation inspection shall be One Hundred Dollars (\$100.00), which shall be paid in advance
10	prior to the inspection.
11	(k) An application to renew a Sanitary Permit shall be received by the Department prior
12	to the date of that permit's expiration date, and any application received after the expiration date
13	shall be assessed a penalty fee of Twenty-Five Dollars (\$25.00), in addition to all other applicable
14	fees.
15	(i) Any health-regulated establishment that operated, regardless of the duration,
16	without a Sanitary Permit shall pay the required Sanitary Permit fee for its annual permit.
17	Temporary Food Service Establishment permit, or the Special Village Event permit, retroactively,
18	for that time period, inclusive of the Twenty Dollars (\$20.00) late application submission fee, if
19	applicable.
20	(m) <u>A Temporary Food Service Establishment</u> , or a sponsor of an event with such
21	establishment, that requests, and is granted, a variance pursuant to the Guam Food Code, which
22	causes the Department to increase its inspection frequency or duration so to closely monitor the

establishment in ensuring that the approved modification or waiver does not create any health
 hazard or nuisance shall pay a fee of Three Hundred Dollars (\$300.00).

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(n) All fees collected pursuant to these rules and regulations shall be non-refundable.

4

(o) The fee schedule established pursuant to these rules and regulations shall become

5 <u>effective immediately upon enactment.</u>

(a) — The Sanitary Permit fee for the following health regulated establishments shall be
Two Hundred Ninety Dollars (\$290) if the establishment has 1 to 10 employees and Five Dollars
(\$5.00) for each additional employee above ten (10) thereafter; for establishments with less than
ten (10) employees, the fee shall be One Hundred Fifty Dollars (\$150.00) until January 1, 2012;
for establishments with less than ten (10) employees, the fee shall be Two Hundred Twenty Dollars

11 (\$220.00) from January 2, 2012 until January 2, 2014:

- 12 (1) Eating and Drinking Establishments, excluding vending machines and
   13 temporary food service establishments;
- 14 (2) Food Establishments;
- 15 (3) Institutional Facilities;
- 16 (4) Hotels;
- 17 (5) Cosmetic Establishments;
- 18 (6) Public Laundry and dry cleaning establishments;
- 19 (7) Public Swimming Pools;
- 20 (8) Mortuaries;
- 21 (9) Edible Garbage Feeding Establishments;
- 22 (10) Commercial-animal establishments; and

1	(11) Any other establishment required to obtain a Sanitary Permit from the
2	Department not explicitly mentioned in these rules and regulations.
3	(b) The Sanitary Permit fee for Temporary Food Service Establishments shall be:
4	(1) One Hundred Dollars (\$100.00) for those operating for less than six (6) months,
5	but more than three (3) days; and
6	(2)—Fifty Dollars (\$50.00) for those operation for three (3) days; and
7	(3) Twenty-Five Dollars (\$25.00) for Special Village Events officially sponsored
8	by a village Municipal Planning Council, provided, however, that the vendor shall be
9	limited to three (3) events per calendar year per permit issued.
10	(c) The Sanitary Permit fee for vending machines shall be:
11	(1) Two Hundred Ninety Dollars (\$290) per vending machine for each of the first
12	style or model of vending machine; and
13	(2) - Five Dollars (\$5.00) for each additional vending machine.
14	(d) The Sanitary Permit fee for chemical toilet operator shall be Five Hundred Dollars
15	(\$500.00) regardless of the number chemical toilets possessed by the operator.
16	(e) Issuance of a duplicate Sanitary Permit shall be Ten Dollars (\$10.00).
17	(f) — Amendments to an existing Sanitary Permit shall be Ten Dollars (\$10.00).
18	(g) An applicant for a Sanitary Permit may request for the permit to be expedited and
19	processed within 24 hours the request is submitted. The Department may grant such request
20	provided the applicant has met all the requirements of the Department to obtain a Sanitary Permit,
21	including the requirement for the Pre-Operational Inspection. The Department shall assess a fee
22	of Seventy-Five Dollars (\$75.00) for expedited processing in addition to the regular processing
23	fee. Applications for a Sanitary Permit for Temporary Food Service Establishments which are

submitted one day or less prior to the event will automatically be assessed an expedited processing
 fee.

3 (h)—The cost of the structural inspection, also known as a pre-operation inspection, is
4 included in the initial payment for the Sanitary Permit. However, a fee of Fifty Dollars (\$50.00)
5 an-hour shall be assessed for all subsequent inspections. With the exception of the first hour,
6 fractional hours shall be rounded up to the nearest whole hour if more than one half (0.5) hour,
7 and rounded down if less than one half (0.5) hour.

8 (i) Request for an expedited structural inspection may be granted, as determined by 9 the Director, if such inspection does not cause the disruption of any pre-existing inspections 10 scheduled for other applicants. The fee for expedited Pre-Operational Inspection shall be One 11 Hundred Fifty Dollars (\$150.00) in addition to all other required fees established in these rules and 12 regulations.

(j) Any establishment whose Sanitary Permit is suspended under the provisions of
 Title 10 GCA, Chapter 21, §21109, and who is granted reinstatement by the Department as set out
 in Title 10 GCA, Chapter 21, §21110, shall first pay a re-opening fee of One Hundred Dollars
 (\$100.00) before the Sanitary Permit is returned or re-issued.

17 (k) The fee schedule established pursuant to this §4506 shall become effective
 immediately upon enactment for new applications, provided, however, it shall become effective
 for the renewal of all Sanitary Permits issued prior to the enactment of these rules on June 1, 2012.
 §4506.1 Advance Notification of Fee Schedule. The Director shall ensure that advance,
 written notification is provided to all Sanitary Permit licensees relative to the cost for permit
 renewal upon prior to the expiration of the their current Sanitary Permit. The information shall be

immediately provided as a permit renewal notification. <u>The notification may be in a form of a</u>
 press release to the local news media.

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### §4507. Administrative Penalty.

(a) Any establishment, which whose had its Sanitary Permit is suspended under the
provision of Title 10 GCA, Chapter 21, §21109, is granted reinstatement by the Department as set
out in Title 10 GCA, Chapter 21, §21110, shall first pay a re-opening fee of <u>Three Hundred Dollars</u>
(\$300.00) One Hundred Dollars (\$100.00), if it has ten or less employees, or Five Hundred Dollars
(\$500.00), if it has eleven or more employees, before the Sanitary Permit is returned or re-issued
by the Department.
(b) The Department, at its discretion, shall assess monetary fine in accordance with the

- 11 following:
- (1) Operating an establishment without a valid Sanitary Permit shall be a fine of no
   more than Two Thousand Five Hundred Dollars (\$2,500.00) but no less than Three
   Hundred Fifty Dollars (\$350.00)

(2) <u>Transferring a Sanitary Permit to another person or to another location, or</u>
 posting on or using the permit in any way at any other premises other than for which it was
 issued shall be a fine of no more than Two Thousand Five Hundred Dollars (\$2,500.00),
 but no less than Three Hundred Fifty Dollars (\$350.00).

(3) <u>Prohibiting the Department from accessing and inspecting its permitted health-</u>
 regulated establishment shall be a fine of no more than Two Thousand Five Hundred
 Dollars (\$2,500.00), but no less than Three Hundred Fifty Dollars (\$350.00).

(4) <u>Prohibiting the Department from examining and copying its records that are</u>
 required to be kept and maintained by the health-regulated establishment shall be a fine of

1	no more than One Thousand Dollars (\$1,000.00), but no less than Two Hundred Dollars
2	<u>(\$200.00).</u>

3 (5) <u>Removing, defacing, destroying, or concealing an inspection report, letter</u>
 4 <u>grade, or closure notice shall be a fine of no more than One Thousand Dollars (\$1,000.00),</u>
 5 <u>but no less than Two Hundred Fifty Dollars (\$250.00).</u>

6 (6) Knowingly authorizing a person to work who has a communicable disease that
 7 can potentially be transmitted to the public in the course of that person's employment shall
 8 be a fine of no more than Five Thousand Dollars (\$5,000.00), but no less than Five Hundred
 9 Dollars (\$500.00).

10(7) Having a repeat violation of the same critical requirement, with the assigned six11(6) demerit points, within a one-year period (365 days) shall be a fine of no more than One12Thousand Dollars (\$1,000.00), but no less than One Hundred Dollars (\$100.00) per13violation.

(8) Operating an eating and drinking establishment or food establishment without
 a manager who possesses a Certificate of Management Certification shall be a fine of no
 more than One Thousand Dollars (\$1,000.00), but no less than Two Hundred Dollars
 (\$200.00).

(9) Importing a consumer item regulated by Title 10 Guam Code Annotated,
 Chapter 32 (Hazardous Substances Act) and Chapter 40 (Guam Food, Drug and Cosmetic
 Act) that is misbranded, adulterated, or otherwise prohibited by law shall be a fine of no
 more than Twenty-Five Thousand Dollars (\$25,000.00), but no less than Five Hundred
 Dollars (\$500.00).

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- (c) <u>Assessment of monetary penalty may occur concurrently with other administrative</u>,
   <u>civil</u>, and/or criminal penalty authorized by law.
- Before imposing an administrative penalty against a person or a health-regulated 3 (d) 4 establishment, the Director shall issue a notice of intent to impose the penalty and provide the 5 individual or business an opportunity to request a hearing on the proposed penalty. The request 6 must be made within fifteen (15) business days of the date the notice of intent is served upon the 7 person or health-regulated establishment. Serving of the notice of intent to the person in charge 8 of the health-regulated establishment, or by certified mail to the last known mailing address of the 9 health-regulated establishment, shall be acceptable means of notification. 10 The administrative penalty hearing shall be held in accordance with the (e) 11 Administrative Adjudication Law (Title 5 GCA, Chapter 9). 12 (f) Any person or health-regulated establishment may seek review of any administrative
- penalty imposed before the Superior Court of Guam. Such review shall be upon the record
   established before the Director and not de novo. The Superior Court may sustain, modify, or
   vacate any administrative penalty it reviews.
- 16 (g) <u>If any person or health-regulated establishment fails to comply with an administrative</u>
   17 penalty order after it has become final:
- (1) <u>The Director may suspend the Sanitary Permit of the health-regulated</u>
   establishment until such time that the payment is made in full, but not before a written
   notice is issued to the establishment notifying it of the action by serving of such notice to
   the person in charge of the health-regulated establishment, or by certified mail to the last
   known mailing address of the health-regulated establishment, at least seven (7) calendar
- 23 <u>days before the suspension takes effect; or</u>

1	(2) <u>The Director may forward the matter to the Attorney General of Guam to bring</u>
2	a civil action to enforce the order, or to recover the amount ordered or assessed, in
3	accordance to law.
4	(3) The Director may pursue both $\frac{54507(g)(1)}{1000}$ and $\frac{54507(g)(2)}{1000}$ , if he/she so
5	desires.
6	§4508. Suspension or Revocation of Sanitary Permit.
7	(a) The Director may suspend or revoke any Sanitary Permit under the provisions of Title
8	10 GCA, Chapter 21, §§21107(2)(d) and 21109, or upon any violation by a health-regulated
9	establishment or by any of its employees for any environmental health violation under Title 10 of
10	the Guam Code Annotated, Chapters 20 through 33 and 35 through 40, or any rules and regulations
11	promulgated concerning Sanitary Permits.
12	(b) <u>A health-regulated establishment with a suspended Sanitary Permit, as one of its</u>
13	conditions in having its Sanitary Permit reinstated, shall be required the following:
14	(1) The staff and management receive applicable training and/or consultation
15	from the Department; and
16	(2) Submits a corrective action plan and/or other applicable, related
17	documentation to the Department.
18	The date, time, and venue for the training or consultation shall be coordinated between the
19	Department and the health-regulated establishment.
20	§4509. Hearing. (a) Any health-regulated establishment whose Sanitary Permit is to be
21	suspended or revoked shall be notified by the Director in writing of the Department's intention
22	and the reasons therefore.

1	(b) Any health-regulated establishment that receives a notice of violation with intent to
2	suspend or revoke as described in Subsection (a) of this §4508 and that wishes to contest shall
3	request a hearing with the Director in writing no later than fifteen (15) calendar days after receipt
4	of the notice, and shall state the grounds for objecting to the intended suspension or revocation.
5	The Administrative Adjudication Law's hearing procedure applies.
6	(c) Upon completion of a hearing, the Director shall make a written determination
7	concerning the violation and whether a suspension or revocation is to be imposed.
8	§45010. Suspension without Hearing. (a) In accordance to with Title 10 GCA, Chapter
9	21, §§21107(d) and 21109(a) and (b), a Sanitary Permit may be suspended without prior hearing:
10	(1) when the demerit score of the establishment is more than forty (40);
11	(2) at the discretion of the Director for violating any provisions of Title 10
12	GCA, Chapters 20 through 40; and
13	(3) for twice violating the same requirement deemed critical under the
14	applicable rules and regulations of the Department within any six-month period, in which
15	case, it shall not exceed five (5) days.
16	(b) A suspension without prior hearing may remain in effect until the violation is
17	corrected by the establishment, or resolved after a hearing in accordance with the Administrative
18	Adjudication Law. The Director shall have the discretion to decide whether the suspension shall
19	be continued pending a hearing.
20	§4511. Effective Date. These rules and regulations shall become effective immediately
21	upon enactment.

1§4512. Severability. If any provision or application of any provision of these rules and2regulations are held invalid, such invalidity shall not affect the other provisions or applications of3these rules and regulations.