<table>
<thead>
<tr>
<th>BILL NO.</th>
<th>SPONSOR</th>
<th>TITLE</th>
</tr>
</thead>
<tbody>
<tr>
<td>190-32 (COR)</td>
<td>B.J.F. Cruz, Judith T. Won Pat, Ed.D., Aline A. Yamashita, Ph.D.</td>
<td>AN ACT TO ADD A NEW CHAPTER 28 TO DIVISION 2 OF TITLE 17 GUAM CODE ANNOTATED RELATIVE TO THE ESTABLISHMENT OF THE RESEARCH CORPORATION, UNIVERSITY OF GUAM (RCUOG).</td>
</tr>
<tr>
<td>P.L. 32-114</td>
<td></td>
<td></td>
</tr>
<tr>
<td>DATE INTRODUCED</td>
<td>09/20/13 4:31 p.m.</td>
<td>9/23/13 RE-REFERRED TO REFLECT CORRECT DATE ON MEMO</td>
</tr>
<tr>
<td>CMTE REFERRED</td>
<td>Committee on General Governmental Operations and Cultural Affairs</td>
<td></td>
</tr>
<tr>
<td>PUBLIC HEARING DATE</td>
<td>10/18/13 9 a.m.</td>
<td>1/27/14 3:38 p.m.</td>
</tr>
<tr>
<td>DATE COMMITTEE REPORT FILED</td>
<td></td>
<td>Fiscal Note Requested 09/24/13</td>
</tr>
<tr>
<td>FISCAL NOTES</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
FEB 13 2014

Honorable Judith T. Won Pat, Ed.D.
Speaker
I Mina'trentai Dos Na Liheslaturan Guåhan
155 Hesler Street
Hagåtña, Guam 96910

Dear Madame Speaker:

Transmitted herewith is Bill No. 190-32 (COR) "AN ACT TO ADD A NEW CHAPTER 28 TO DIVISION 2 OF TITLE 17, GUAM CODE ANNOTATED, RELATIVE TO THE ESTABLISHMENT OF THE RESEARCH CORPORATION, UNIVERSITY OF GUAM (RCUOG)" which I signed into law on February 10, 2014 as Public Law 32-114.

Sincerely,

EDDIE BAZA CALVO
CERTIFICATION OF PASSAGE OF AN ACT TO I MAGA’LAHEN GUÅHAN

This is to certify that Bill No. 190-32 (COR), “AN ACT TO ADD A NEW CHAPTER 28 TO DIVISION 2 OF TITLE 17, GUAM CODE ANNOTATED, RELATIVE TO THE ESTABLISHMENT OF THE RESEARCH CORPORATION, UNIVERSITY OF GUAM (RCUOG),” was on the 1st day of February, 2014, duly and regularly passed.

Judith T. Won Pat, Ed.D.
Speaker

Attested:

Tina Rose Muña Barnes
Legislative Secretary

This Act was received by I Maga’lahen Guåhan this 10th day of Feb., 2014, at
10:50 o’clock P.M.

Assistant Staff Officer
Maga’lahi’s Office

APPROVED:

EDWARD J.B. CALVO
I Maga’lahen Guåhan

Date: FEB 10 2014

Public Law No. 32-114
AN ACT TO ADD A NEW CHAPTER 28 TO DIVISION 2 OF TITLE 17, GUAM CODE ANNOTATED, RELATIVE TO THE ESTABLISHMENT OF THE RESEARCH CORPORATION, UNIVERSITY OF GUAM (RCUOG).

BE IT ENACTED BY THE PEOPLE OF GUAM:

Section 1. Legislative Findings and Intent. *I Liheslaturan Guåhan* finds that the University of Guam has the capacity to grow and expand its economic, social and environmental benefits to the people of Guam and the region, while fulfilling its mission as the premier institution of higher education in the region, by engaging more in research and educational programs funded by private and federal
sponsors, to include business development through commercialization of research and public-private partnerships. These private contracts and federal grants complement the government of Guam’s appropriations to the University by adding new revenues that assist in sustaining the University and enabling it to grow.

*I Liheslaturan Guåhan* further finds that in 2011, University of Guam revenues from grants and contracts amounted to $49 million, a growth of $11 million (or 29%) over the prior year. Since 2004, the University has more than doubled the value of federal grants and contracts. This rate of increase has led to challenges in managing such remarkable growth and puts at risk the University’s ability to seek and achieve further grant and contract growth.

*I Liheslaturan Guåhan* further finds that states and jurisdictions have implemented over 200 research corporations at or affiliated with universities that have led to dramatic growth in grant and contract awards through infrastructure, regulations and systems that are tailored to the research environment. These states have crafted legislation that provides best practice; the flexibility required for grants management while remaining compliant with rules and regulations, such as federal OMB Circulars A-21 and A-133; and the agility needed to grow grants and contracts to the benefit of their jurisdictions and constituents.

Therefore, it is the intent of *I Liheslaturan Guåhan* to create a new entity, to be called the Research Corporation of the University of Guam (RCUOG).

**Section 2.** A new Chapter 28 is hereby added to Division 3 of Title 17, Guam Code Annotated, to read as follows:

"CHAPTER 28

RESEARCH CORPORATION OF THE UNIVERSITY OF GUAM (RCUOG)

§ 2801. Establishment of the Research Corporation."
§ 2801. Establishment of the Research Corporation. The University of Guam is authorized to establish a body corporate, the Research Corporation of the University of Guam (RCUOG, Corporation). The Corporation shall be a public corporation incorporated on Guam, and shall operate as an integral part of the University of Guam (University, UOG) and may apply for a 501(c)(3) designation.

§ 2802. Purpose. The purposes of the Corporation shall include, but not be limited to, the promotion of assigned educational, scientific, and literary pursuits of the University of Guam’s grants and contracts by:

(a) encouraging, initiating, aiding, developing, and conducting training, research, and study in the physical, biological, and social sciences, humanities, and all other branches of learning;

(b) encouraging and aiding in the education and training of persons for the conduct of the training, investigations, research, and study;
(c) furnishing the means, methods, and agencies by which
the training, investigation, research, and study may be conducted, in
support of the mission and best practices of the University of Guam;

(d) assisting in the dissemination of knowledge by
establishing, aiding, and maintaining professorships or other staff
positions, fellowships, scholarships, publications, and lectures;

(e) engaging in other means of making the benefits of
training, investigations, research, and study available to the public;
and

(f) taking any and all other actions reasonably designed to
promote these purposes in the interest of promoting the general
welfare of the people of Guam.

§ 2803. Administration. The affairs of the Corporation shall be
under the general management and control of the RCUOG Board of
Directors.

(a) Composition of Board of Directors. The Board of
Directors shall consist of up to ten members, as follows:

(1) Ex-officio Chief Executive Officer – University of
Guam President;

(2) Ex-officio Member – Senior Vice President of
Academic and Student Affairs;

(3) Ex-officio Member – Vice President of
Administration and Finance;

(4) UOG President appointee of up to four (4)
members (minimum of two representing the community at
large);

(5) UOG Research Council appointee of one Member;
(6) UOG Faculty Senate appointee of one member;
and

(7) UOG Board of Regents appointees of two UOG
Board of Regents members.

(b) All members of the Board of Directors shall serve
without pay, but shall be entitled to reimbursement for necessary
expenses while attending meetings and while in the discharge of
duties and responsibilities.

(c) The Chairperson of the Board of Directors shall be the
President of the University of Guam. The Senior Vice President and
Vice President for Administration and Finance shall serve as ex-
officio members of the Board.

§ 2804. Offi cers and Employees of the Research Corporation.

(a) RCUOG will follow all the requirements applicable to
each major grant program and maintain internal control over
compliance in accordance with OMB Circulars A-133 and A-21, and
appropriate Federal Acquisition Requirements.

(b) RCUOG employees shall be governed by the RCUOG
Board of Directors. Rules and regulations governing the selection,
compensation, promotion, performance evaluation, disciplinary
action, and other terms and conditions of employment, affecting
RCUOG personnel shall be adopted by the Board. The Board may
establish or abolish positions as necessary for the purposes herein.

(c) The Board of Directors shall appoint the Executive
Director of RCUOG.
(d) The RCUOG Board of Directors shall establish an employment policy for the Research Corporation, as necessary, in administering the affairs of the RCUOG.

(e) Notwithstanding any other provision of law, rule, or regulation, employees of the Research Corporation shall be unclassified public employees. The Board of Directors shall set the employees’ duties, responsibilities, salaries, holidays, leaves, hours of work, and working conditions. The Board of Directors may grant such other benefits to its employees as it deems necessary, and in accordance with RCUOG’s employment policy and federal employment laws.

(f) The RCUOG Board of Directors shall promulgate rules and regulations for hiring, employment, procurement and property set forth by RCUOG Policy, and the requirements applicable to each major grant program and on internal control over compliance in accordance with OMB Circulars A-133 and A-21, and Federal Acquisition Requirements.

(g) Employees of the Research Corporation shall not be entitled to any benefits conferred under Title 4, GCA - Public Officers and Employees: Chapter 4 - Personnel Policy and the Civil Service Commission, relating to civil service and public service; and Chapter 8 - Retirement of Public Employees, relating to pension and retirement systems, and the appropriate collective bargaining agreement, executive order, executive directive, or rule.

§ 2805. Authorized Activities. Notwithstanding any other provision of law, rule, or regulation, the RCUOG is authorized to conduct the following activities:
(a) to create, adopt, amend, and repeal by-laws governing the
conduct of its business and the exercise of the powers and
performance of duties granted to it or imposed upon it by law;

(b) to enter into and perform such contracts, leases,
cooperative agreements, grants or other transactions on behalf of the
University, as may be necessary to facilitate the conduct of the
authorized activities described herein;

(c) to buy, sell, lease, rent, hold, maintain, use, and operate
any property, real, personal, or mixed, tangible or intangible, in
accordance with the conditions under which it was received and under
the purposes of the RCUOG;

(d) to submit each year for the approval of the Board of
Directors an annual budget; such budget will include projected
income, including indirect/facilities and administrative revenue, and a
cost of operating expenses of the Corporation, as well as the
distribution plan of the net proceeds for the University’s use, which
shall include a reasonable corporate reserve; the allocation of
indirect/facilities and administrative cost will be determined by the
Board of Directors, and following UOG-BOR Resolution 08-40;

(e) to receive through University policies and procedures, by
gifts, grants, devises, bequests, or otherwise from private or public
sources, any property, real, personal, or mixed, intangible or tangible,
absolutely or in trust, to be used and disposed of, either the principal
or the income therefrom, in accordance with the conditions under
which it was received; except, that no gift to the Corporation shall be
accepted unless approved or confirmed by the RCUOG Board of
Directors;

7
(f) to facilitate multi-year contracts;

(g) to have a corporate seal;

(h) to sue and to be sued in its own name and to indemnify the University against losses incurred specific to that activity;

(i) to serve as trustee or beneficiary under terms of any gift, indenture, or will;

(j) to apply for, take out, receive by purchase or gift, hold, administer, and dispose of copyrights, patent rights, licenses, assignments of inventions, discoveries, processes, and other property, rights or interests therein, the income thereof, absolutely or subject to such conditions or trusts as may be attached thereto or be imposed thereon, and to obligate itself to perform and execute any and all such conditions or trusts in coordination with UOG’s Intellectual Property Policy;

(k) to conduct research, studies, experiments, investigations, and tests in all fields of knowledge; to promote and develop the scientific and commercial value of inventions, discoveries, and processes; and to make published, and distribute the results thereof;

(l) to do any and all other acts reasonably necessary to carry out the objectives and purposes of the Corporation and the University, as approved by the University and the RCUOG Board of Directors;

(m) to coordinate and correlate activities and projects of the Corporation with the work of government of Guam agencies for the purpose of relating research work to the economic development of Guam whenever practical or desirable;

(n) to stimulate and promote cooperative research projects and activities;
(o) to establish and maintain, or to assist in establishing and
maintaining, scholarships, fellowships, and professorships, and other
staff positions for the purpose of aiding in the acquisition and
dissemination of knowledge; and to enter into agreements or contracts
with other corporations, organizations, institutions, or persons for this
purpose and to pay the necessary and appropriate expenses therefor;

(p) to prepare, print, or publish any manuscript, research
article, report, study, discussion, reference, collection, or any pictorial
or schematic representation or group or collection thereof, whether it
belongs to or is the work of any government of Guam agency or its
employees, or the University or one of its faculty members or
employees, or the Corporation or its employees, or a contractor of
RCUOG. The printing or publication may be accomplished through
whatever person, company, or agency is deemed most appropriate by
the Board of Directors.

§ 2806. Procurement. RCUOG shall adopt a procurement
policy approved by the RCUOG Board that is aligned with sound business
practices and consistent with federal program rules and regulations as
applicable to grants, and contracts, such as, but not limited to, OMB Circular
A-21.

§ 2807. Annual Report. The Corporation shall submit an annual
report to the University of Guam Board of Regents, I Maga’laken Guåhan
(the Governor of Guam), and I Lihešluran Guåhan (the Guam
Legislature).

The report shall include, but not be limited to, the Corporation’s
audited financial statements, including its balance sheet, statement of income
and expenses, a synopsis of all ongoing research projects and a summary of
funding for each such project by the source of such funding, whether local
government, federal government or other sources, and statement of cash
flows; and progress and accomplishments during the year.

§ 2808. Dissolution. In the event of the dissolution of the
Corporation, all of its property, real, personal, and mixed, and wheresoever
situated, shall vest immediately and absolutely in the University of Guam.

§ 2809. Special Account. The Corporation shall be authorized to
set up a special account for depositing moneys received from either public or
private contracts, or from private or public grants, awards, or gifts. All
disbursements shall be drawn on the special account upon checks prepared
and signed, as approved by the Executive Director of the RCUOG and at
least one other RCUOG officer or employee authorized by the RCUOG
Board of Directors.

§ 2810. RCUOG Excepted From Certain Guam Laws. Unless
otherwise specifically stated in law, RCUOG is excepted from the following
Guam laws:

(a) Title 5 GCA - Government Operations: Chapter 22 -
General Fiscal Policies and Controls, relating to the management and
regulation of expenditures with the use of public funds;

(b) Title 4 GCA - Public Officers and Employees: Chapter 4
- Personnel Policy and the Civil Service Commission, relating to
public employment and the Civil Service Commission;

(c) Title 4 GCA - Public Officers and Employees: Chapter 8
- Retirement of Public Employees, relating to pension and retirement
systems;
(d) Title 5 GCA - Government Operations: Chapter 5 -
Guam Procurement Law, relating to the procurement of goods and
services with public funds.

§ 2811. Severability. If any provision of this Act, or the
application thereof to any person or circumstances is held invalid, the
invalidity does not affect other provisions or applications of the Act that can
be given effect without the invalid provisions or application, and to this end
the provisions of this Act are severable."
February 1, 2014

The Honorable Edward J.B. Calvo
I Maga’lahen Guåhan
Ufisinan I Maga’lahi
Hagåtña, Guånam 96910

Dear Maga’lahi Calvo:

Transmitted herewith are Bill and Substitute Bill Nos. 61-32(COR), 70-32(COR), 71-32(COR), 130-32(COR), 146-32(COR), 169-32(COR), 179-32(COR), 180-32(COR), 190-32(COR), 192-32(COR), 197-32(COR), 201-32(COR), 202-32(COR), 204-32(COR), 206-32(COR), 214-32(COR), 221-32(COR), 225-32(COR), 226-32(COR), 231-32(COR), 232-32(COR), 235-32(COR), 236-32(COR), 237-32(COR), 242-32(COR), 245-32(COR), 247-32(COR), 248-32(COR) and 268-32(LS) which were passed by I Mina’Trentai Dos Na Liheslaturan Guåhan on February 1, 2014.

Sincerely,

TINA ROSE MUÑA BARNES
Legislative Secretary
I MINA'TRENTAI DOS NA LIHESLATURAN GUÁHAN
2014 (SECOND) Regular Session

CERTIFICATION OF PASSAGE OF AN ACT TO I MAGA’LAHEN GUÁHAN

This is to certify that Bill No. 190-32 (COR), “AN ACT TO ADD A NEW CHAPTER 28 TO DIVISION 2 OF TITLE 17, GUAM CODE ANNOTATED, RELATIVE TO THE ESTABLISHMENT OF THE RESEARCH CORPORATION, UNIVERSITY OF GUAM (RCUOG),” was on the 1st day of February, 2014, duly and regularly passed.

Judith T. Won Pat, Ed.D.
Speaker

Attested:

Tina Rose Muña Barnes
Legislative Secretary

This Act was received by I Maga’lahen Guåhan this 1st day of Feb, 2014, at 10:30 o'clock A.M.

Assistant Staff Officer
Maga’lahi’s Office

APPROVED:

EDWARD J.B. CALVO
I Maga’lahen Guåhan

Date: ___________________________

Public Law No. __________________
Introduced by:

B. J.F. Cruz
Judith T. Won Pat, Ed.D.
Aline A. Yamashita, Ph.D.
T. C. Ada
V. Anthony Ada
FRANK B. AGUON, JR.
Chris M. Dueñas
Michael T. Limtiaco
Brant T. McCreadie
Tommy Morrison
T. R. Muña Barnes
Vicente (ben) C. Pangelinan
R. J. Respicio
Dennis G. Rodriguez, Jr.
Michael F. Q. San Nicolas

AN ACT TO ADD A NEW CHAPTER 28 TO DIVISION 2 OF TITLE 17, GUAM CODE ANNOTATED, RELATIVE TO THE ESTABLISHMENT OF THE RESEARCH CORPORATION, UNIVERSITY OF GUAM (RCUOG).

BE IT ENACTED BY THE PEOPLE OF GUAM:

Section 1. Legislative Findings and Intent. *I Liheslaturan Guåhan* finds that the University of Guam has the capacity to grow and expand its economic, social and environmental benefits to the people of Guam and the region, while fulfilling its mission as the premier institution of higher education in the region, by engaging more in research and educational programs funded by private and federal
sponsors, to include business development through commercialization of research and public-private partnerships. These private contracts and federal grants complement the government of Guam’s appropriations to the University by adding new revenues that assist in sustaining the University and enabling it to grow.

_I Liheslaturan Guåhan_ further finds that in 2011, University of Guam revenues from grants and contracts amounted to $49 million, a growth of $11 million (or 29%) over the prior year. Since 2004, the University has more than doubled the value of federal grants and contracts. This rate of increase has led to challenges in managing such remarkable growth and puts at risk the University’s ability to seek and achieve further grant and contract growth.

_I Liheslaturan Guåhan_ further finds that states and jurisdictions have implemented over 200 research corporations at or affiliated with universities that have led to dramatic growth in grant and contract awards through infrastructure, regulations and systems that are tailored to the research environment. These states have crafted legislation that provides best practice; the flexibility required for grants management while remaining compliant with rules and regulations, such as federal OMB Circulars A-21 and A-133; and the agility needed to grow grants and contracts to the benefit of their jurisdictions and constituents.

Therefore, it is the intent of _I Liheslaturan Guåhan_ to create a new entity, to be called the Research Corporation of the University of Guam (RCUOG).

Section 2. A new Chapter 28 is hereby added to Division 3 of Title 17, Guam Code Annotated, to read as follows:

“CHAPTER 28

RESEARCH CORPORATION OF THE UNIVERSITY OF GUAM (RCUOG)

§ 2801. Establishment of the Research Corporation.
§ 2802.  Purpose.

§ 2803.  Administration.

§ 2804.  Officers and Employees of the Research Corporation.

§ 2805.  Authorized Activities.

§ 2806.  Procurement.

§ 2807.  Annual Report.

§ 2808.  Dissolution.

§ 2809.  Special Account.

§ 2810.  RCUOG Excepted From Certain Guam Laws.

§ 2811.  Severability.

§ 2801.  Establishment of the Research Corporation.  The University of Guam is authorized to establish a body corporate, the Research Corporation of the University of Guam (RCUOG, Corporation).  The Corporation shall be a public corporation incorporated on Guam, and shall operate as an integral part of the University of Guam (University, UOG) and may apply for a 501(c)(3) designation.

§ 2802.  Purpose.  The purposes of the Corporation shall include, but not be limited to, the promotion of assigned educational, scientific, and literary pursuits of the University of Guam’s grants and contracts by:

(a) encouraging, initiating, aiding, developing, and conducting training, research, and study in the physical, biological, and social sciences, humanities, and all other branches of learning;

(b) encouraging and aiding in the education and training of persons for the conduct of the training, investigations, research, and study;
(c) furnishing the means, methods, and agencies by which the training, investigation, research, and study may be conducted, in support of the mission and best practices of the University of Guam;

(d) assisting in the dissemination of knowledge by establishing, aiding, and maintaining professorships or other staff positions, fellowships, scholarships, publications, and lectures;

(e) engaging in other means of making the benefits of training, investigations, research, and study available to the public; and

(f) taking any and all other actions reasonably designed to promote these purposes in the interest of promoting the general welfare of the people of Guam.

§ 2803. Administration. The affairs of the Corporation shall be under the general management and control of the RCUOG Board of Directors.

(a) Composition of Board of Directors. The Board of Directors shall consist of up to ten members, as follows:

(1) Ex-officio Chief Executive Officer – University of Guam President;

(2) Ex-officio Member – Senior Vice President of Academic and Student Affairs;

(3) Ex-officio Member – Vice President of Administration and Finance;

(4) UOG President appointee of up to four (4) members (minimum of two representing the community at large);

(5) UOG Research Council appointee of one Member;
(6) UOG Faculty Senate appointee of one member; and

(7) UOG Board of Regents appointees of two UOG Board of Regents members.

(b) All members of the Board of Directors shall serve without pay, but shall be entitled to reimbursement for necessary expenses while attending meetings and while in the discharge of duties and responsibilities.

(c) The Chairperson of the Board of Directors shall be the President of the University of Guam. The Senior Vice President and Vice President for Administration and Finance shall serve as ex-officio members of the Board.

§ 2804. Officers and Employees of the Research Corporation.

(a) RCUOG will follow all the requirements applicable to each major grant program and maintain internal control over compliance in accordance with OMB Circulars A-133 and A-21, and appropriate Federal Acquisition Requirements.

(b) RCUOG employees shall be governed by the RCUOG Board of Directors. Rules and regulations governing the selection, compensation, promotion, performance evaluation, disciplinary action, and other terms and conditions of employment, affecting RCUOG personnel shall be adopted by the Board. The Board may establish or abolish positions as necessary for the purposes herein.

(c) The Board of Directors shall appoint the Executive Director of RCUOG.
(d) The RCUOG Board of Directors shall establish an employment policy for the Research Corporation, as necessary, in administering the affairs of the RCUOG.

(e) Notwithstanding any other provision of law, rule, or regulation, employees of the Research Corporation shall be unclassified public employees. The Board of Directors shall set the employees’ duties, responsibilities, salaries, holidays, leaves, hours of work, and working conditions. The Board of Directors may grant such other benefits to its employees as it deems necessary, and in accordance with RCUOG’s employment policy and federal employment laws.

(f) The RCUOG Board of Directors shall promulgate rules and regulations for hiring, employment, procurement and property set forth by RCUOG Policy, and the requirements applicable to each major grant program and on internal control over compliance in accordance with OMB Circulars A-133 and A-21, and Federal Acquisition Requirements.

(g) Employees of the Research Corporation shall not be entitled to any benefits conferred under Title 4, GCA - Public Officers and Employees: Chapter 4 - Personnel Policy and the Civil Service Commission, relating to civil service and public service; and Chapter 8 - Retirement of Public Employees, relating to pension and retirement systems, and the appropriate collective bargaining agreement, executive order, executive directive, or rule.

§ 2805. Authorized Activities. Notwithstanding any other provision of law, rule, or regulation, the RCUOG is authorized to conduct the following activities:
(a) to create, adopt, amend, and repeal by-laws governing the
cconduct of its business and the exercise of the powers and
performance of duties granted to it or imposed upon it by law;
(b) to enter into and perform such contracts, leases,
cooperative agreements, grants or other transactions on behalf of the
University, as may be necessary to facilitate the conduct of the
authorized activities described herein;
(c) to buy, sell, lease, rent, hold, maintain, use, and operate
any property, real, personal, or mixed, tangible or intangible, in
accordance with the conditions under which it was received and under
the purposes of the RCUOG;
(d) to submit each year for the approval of the Board of
Directors an annual budget; such budget will include projected
income, including indirect/facilities and administrative revenue, and a
cost of operating expenses of the Corporation, as well as the
distribution plan of the net proceeds for the University’s use, which
shall include a reasonable corporate reserve; the allocation of
indirect/facilities and administrative cost will be determined by the
Board of Directors, and following UOG-BOR Resolution 08-40;
(e) to receive through University policies and procedures, by
gifts, grants, devises, bequests, or otherwise from private or public
sources, any property, real, personal, or mixed, intangible or tangible,
absolutely or in trust, to be used and disposed of, either the principal
or the income therefrom, in accordance with the conditions under
which it was received; except, that no gift to the Corporation shall be
accepted unless approved or confirmed by the RCUOG Board of
Directors;
(f) to facilitate multi-year contracts;

(g) to have a corporate seal;

(h) to sue and to be sued in its own name and to indemnify the University against losses incurred specific to that activity;

(i) to serve as trustee or beneficiary under terms of any gift, indenture, or will;

(j) to apply for, take out, receive by purchase or gift, hold, administer, and dispose of copyrights, patent rights, licenses, assignments of inventions, discoveries, processes, and other property, rights or interests therein, the income thereof, absolutely or subject to such conditions or trusts as may be attached thereto or be imposed thereon, and to obligate itself to perform and execute any and all such conditions or trusts in coordination with UOG’s Intellectual Property Policy;

(k) to conduct research, studies, experiments, investigations, and tests in all fields of knowledge; to promote and develop the scientific and commercial value of inventions, discoveries, and processes; and to make published, and distribute the results thereof;

(l) to do any and all other acts reasonably necessary to carry out the objectives and purposes of the Corporation and the University, as approved by the University and the RCUOG Board of Directors;

(m) to coordinate and correlate activities and projects of the Corporation with the work of government of Guam agencies for the purpose of relating research work to the economic development of Guam whenever practical or desirable;

(n) to stimulate and promote cooperative research projects and activities;
(o) to establish and maintain, or to assist in establishing and maintaining, scholarships, fellowships, and professorships, and other staff positions for the purpose of aiding in the acquisition and dissemination of knowledge; and to enter into agreements or contracts with other corporations, organizations, institutions, or persons for this purpose and to pay the necessary and appropriate expenses therefor;

(p) to prepare, print, or publish any manuscript, research article, report, study, discussion, reference, collection, or any pictorial or schematic representation or group or collection thereof, whether it belongs to or is the work of any government of Guam agency or its employees, or the University or one of its faculty members or employees, or the Corporation or its employees, or a contractor of RCUOG. The printing or publication may be accomplished through whatever person, company, or agency is deemed most appropriate by the Board of Directors.

§ 2806. **Procurement.** RCUOG shall adopt a procurement policy approved by the RCUOG Board that is aligned with sound business practices and consistent with federal program rules and regulations as applicable to grants, and contracts, such as, but not limited to, OMB Circular A-21.

§ 2807. **Annual Report.** The Corporation shall submit an annual report to the University of Guam Board of Regents, *I Maga’lahen Guåhan* (the Governor of Guam), and *I Lihašlutan Guåhan* (the Guam Legislature).

The report shall include, but not be limited to, the Corporation’s audited financial statements, including its balance sheet, statement of income and expenses, a synopsis of all ongoing research projects and a summary of
funding for each such project by the source of such funding, whether local
government, federal government or other sources, and statement of cash
flows; and progress and accomplishments during the year.

§ 2808. **Dissolution.** In the event of the dissolution of the
Corporation, all of its property, real, personal, and mixed, and wheresoever
situated, shall vest immediately and absolutely in the University of Guam.

§ 2809. **Special Account.** The Corporation shall be authorized to
set up a special account for depositing moneys received from either public or
private contracts, or from private or public grants, awards, or gifts. All
disbursements shall be drawn on the special account upon checks prepared
and signed, as approved by the Executive Director of the RCUOOG and at
least one other RCUOOG officer or employee authorized by the RCUOOG
Board of Directors.

§ 2810. **RCUOOG Excepted From Certain Guam Laws.** Unless
otherwise specifically stated in law, RCUOOG is excepted from the following
Guam laws:

(a) Title 5 GCA - Government Operations: Chapter 22 -
General Fiscal Policies and Controls, relating to the management and
regulation of expenditures with the use of public funds;

(b) Title 4 GCA - Public Officers and Employees: Chapter 4
- Personnel Policy and the Civil Service Commission, relating to
public employment and the Civil Service Commission;

(c) Title 4 GCA - Public Officers and Employees: Chapter 8
- Retirement of Public Employees, relating to pension and retirement
systems;
(d) Title 5 GCA - Government Operations: Chapter 5 - Guam Procurement Law, relating to the procurement of goods and services with public funds.

§ 2811. Severability. If any provision of this Act, or the application thereof to any person or circumstances is held invalid, the invalidity does not affect other provisions or applications of the Act that can be given effect without the invalid provisions or application, and to this end the provisions of this Act are severable.”
# LEGISLATIVE SESSION
## I MINA'TRENTAI DOS NA LIHESLATURAN
### 2014 (SECOND) Regular Session
#### Voting Sheet

**Bill No. 190-32 (COR)**

As amended on the Floor.

<table>
<thead>
<tr>
<th>NAME</th>
<th>Yea</th>
<th>Nay</th>
<th>Not Voting/Abstained</th>
<th>Out During Roll Call</th>
<th>Absent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Senator Thomas &quot;Tom&quot; C. ADA</td>
<td>✔️</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Senator V. Anthony &quot;Tony&quot; ADA</td>
<td>✔️</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Senator Frank Blas AGUON Jr.</td>
<td>✔️</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Vice-Speaker Benjamin J.F. CRUZ</td>
<td>✔️</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Senator Christopher M. DUENAS</td>
<td>✔️</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Senator Michael LIMTIACO</td>
<td>✔️</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Senator Brant McCREADIE</td>
<td>✔️</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Senator Thomas &quot;Tommy&quot; MORRISON</td>
<td>✔️</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Senator Tina Rose MUÑA BARNES</td>
<td>✔️</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Senator Vicente (ben) Cabrera PANGELINAN</td>
<td>✔️</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Senator Rory J. RESPICIO</td>
<td>✔️</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Senator Dennis G. RODRIGUEZ, Jr.</td>
<td>✔️</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Senator Michael F. Q.SAN NICOLAS</td>
<td>✔️</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Speaker Judith T. WON PAT, Ed.D.</td>
<td>✔️</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Senator Aline A. YAMASHITA, Ph.D.</td>
<td>✔️</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**TOTAL**

<table>
<thead>
<tr>
<th>Yea</th>
<th>Nay</th>
<th>Not Voting/Abstained</th>
<th>Out During Roll Call</th>
<th>Absent</th>
</tr>
</thead>
<tbody>
<tr>
<td>14</td>
<td>1</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

CERTIFIED TRUE AND CORRECT:

Clerk of the Legislature

1 = Pass
JAN 22 2014

The Honorable Judith T. Won Pat
Speaker
I Mina' Tretai Dos Na Liheslaturan Gualhan
32nd Guam Legislature
155 Hesler Place
Hagåtña, Guam 96910

VIA: The Honorable Rory J Respicio
Chairperson, Committee on Rules

RE: Committee Report on Bill No. 190-32 (COR)

Dear Speaker Won Pat:

Transmitted herewith is the Report of Committee on General Government Operations and Cultural Affairs on Bill No. 190-32 (COR) - B.J.F. Cruz / J.T. Won Pat, Ed.D / A.A. Yamashita, Ph.D - An act to add a new Chapter 28 to Division 2 of Title 17 Guam Code Annotated relative to the establishment of the Research Corporation, University of Guam (RCUOG)

Committee votes are as follows:

6 TO DO PASS
2 TO REPORT OUT ONLY

Sincerely,

BENJAMIN J.F. CRUZ
Chairperson
COMMITTEE REPORT

Bill No. 190-32 (COR)

An act to add a new Chapter 28 to Division 2 of Title 17 Guam Code
Annotated relative to the establishment of the Research Corporation, University of Guam (RCUOG)
MEMORANDUM

TO: All Members

FROM: Vice Speaker Benjamin J.F. Cruz
Committee on General Government Operations and Cultural Affairs

SUBJECT: Committee Report on Bill No. 190-32 (COR)

Transmitted herewith is the Report of Committee on General Government Operations and Cultural Affairs on Bill No. 190-32 (COR) - B.J.F. Cruz / J.T. Won Pat, Ed.D / A.A. Yamashita, Ph.D - "An act to add a new Chapter 28 to Division 2 of Title 17 Guam Code Annotated relative to the establishment of the Research Corporation, University of Guam (RCUOG)"

This report includes the following:

- Committee Vote Sheet
- Committee Report Digest
- Bill No. 190-32 (COR)
- Public Hearing Sign-in Sheet
- Copies of Submitted Testimony & Supporting Documents
- COR Referral of Bill No. 190-32 (COR)
- Fiscal Note Requirement
- Notices of Public Hearing
- Public Hearing Agenda
- Related News Reports

Please take the appropriate action on the attached voting sheet. Your attention to this matter is greatly appreciated. Should you have any questions or concerns, please do not hesitate to contact me.

Sincerely,

BENJAMIN J.F. CRUZ
COMMITTEE VOTING SHEET

Transmitted herewith is the Report of Committee on General Government Operations and Cultural Affairs on Bill No. 190-32 (COR) - B.J.F. Cruz / J.T. Won Pat, Ed.D / A.A. Yamashita, Ph.D. - An act to add a new Chapter 28 to Division 2 of Title 17 Guam Code Annotated relative to the establishment of the Research Corporation, University of Guam (RCUOG)

<table>
<thead>
<tr>
<th>COMMITTEE MEMBERS</th>
<th>SIGNATURE</th>
<th>TO DO PASS</th>
<th>TO NOT PASS</th>
<th>TO REPORT OUT ONLY</th>
<th>TO ABSTAIN</th>
<th>TO PLACE IN INACTIVE FILE</th>
</tr>
</thead>
<tbody>
<tr>
<td>CRUZ, BENJAMIN J.F.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Chairperson</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>MUNA BARNES, TINA ROSE</td>
<td></td>
<td>✓</td>
<td>✓</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Vice-Chairperson</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>WON PAT, JUDITH T.</td>
<td></td>
<td>✓</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Speaker and Ex-Officio Member</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ADA, THOMAS C.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Member</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PANGELINAN, C. VICENTE</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Member</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>RESPICIO, RORY J.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Member</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>RODRIGUEZ, DENNIS G. JR.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Member</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SAN NICOLAS, MICHAEL, F.Q.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Member</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>AGUON, Jr., FRANK B.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Member</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ADA, V. ANTHONY</td>
<td></td>
<td>✓</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Member</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Morrison, Thomas</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Member</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>McCreadie, Brant</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Member</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>YAMASHITA, ALINE</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Member</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
COMMITTEE REPORT DIGEST

I. OVERVIEW
The Committee on General Government Operations and Cultural Affairs convened a public hearing on Thursday November 14, 2013 at 10:00 a.m. in the Public Hearing Room of I Liheslatura. Among the items on the agenda was the consideration was Bill No. 190-32 (COR) - B.J.F. Cruz / J.T. Won Pat, Ed.D / A.A. Yamashita, Ph.D. - An act to add a new Chapter 28 to Division 2 of Title 17 Guam Code Annotated relative to the establishment of the Research Corporation, University of Guam (RCUOG)

Public Notice Requirements
All legal requirements for public notices were met, with requests for publication sent to all media and all Senators on November 6, 2013. Copies of the hearing notices are appended to the report.

Senators Present
Vice Speaker Benjamin J.F. Cruz
Senator Thomas C. Ada
Senator Tina Rose Muña Barnes
Senator Vicente C. Pangelinan
Senator V. Anthony Ada
Senator Michael Limitaco
Senator Thomas Morrison
Senator Aline A. Yamashita, Ph.D.
Senator Brant McCreadie

The public hearing was called to order at 10:05 a.m.

II. TESTIMONY & DISCUSSION

Vice Speaker Benjamin J.F. Cruz announced Bill No. 190-32 (COR). The Vice Speaker then goes on to provide the background and the intent of the bill.

The Vice Speaker starts by describing an experience of his at the University of Guam where students asked him what he would do to spur growth in the economy. He went on by stating that he thought that startups and research would be a successful method to achieve this.

Vice Speaker Cruz continued on to describe the proposal of Bill 190-32 (COR). Vice Speaker Cruz stated that President Underwood of the University of Guam and his team requested to speak with himself, Senator Yamashita, Senator Won Pat, and Senator Pangelinan to discuss the
University of Guam’s desire to establish a Research Corporation for the University of Guam. The Vice Speaker stated that President Underwood requested for a bill to be proposed to establish the Research Corporation of the University of Guam.

Robert Underwood, President of the University of Guam, provided an oral testimony in support of Bill No. 190-32 (COR). President Underwood stated that the University of Guam is in need of a Research Corporation, to grow and expand research at the University of Guam.

President Underwood introduces his team which was comprised of SVP Dave O’Brien, SVP Dr. Anita Enriquez, and Dr. John Peterson. President Underwood stated that RCUOG (the Research Corporation of the University of Guam) would “allow the economy to grow, research to occur, and service to expand.” President Underwood states that the University of Guam has a Good to Great initiative that has RCUOG at its core. President Underwood then introduces UOG’s RCUOG presentation for the public hearing that he begins to read from.

“Moving from Good to Great

UOG is involved in G2G process to evaluate all programs in terms of mission, sustainability, quality and demand. UOG is at crossroads to identify what it can be great at, find its passion and develop the resources to carry it out. We understand the link between program, passion and resources.

UOG’s Mission Statement is as stated:

- INA, DESKUBRE, SETBE
- Regional purpose
- Resource engine of UOG requires a mixture of sources
- Future growth of UOG requires new and flexible administrative/financial infrastructure

Future UOG growth and service to Guam and Region requires a new design to increase flexibility and be responsive to funding cycles and sources. Of the funding that UOG receives 22% comes from Tuition and Fees, 34% comes from Grants and Contracts, 34% comes from GovGuam Appropriations, and % comes from Investments and Other Sources.

RCUOG in Operation

- RCUOG allows growth and contraction for startup and completion of projects
RCUOG will ensure compliance with federal and sponsoring agencies regulations and highest standards of grant administration
RCUOG will be a model of adhering to ethical and professional values
RCUOG Potential
RCUOG will allow UOG to develop an innovation ecosystem for new economic activities combined with research activities
RCUOG will allow UOG to add research topics without being limited by existing infrastructure
RCUOG will facilitate partnerships with private sector and other universities

RCUOG is Part of UOG Plans Now
UOG is currently being considered for EPSCOR funding of $12.9 million for 5 years
UOG has plans to expand institutional partnerships for the hatchery
UOG is considering new relationships with major universities in health research
UOG is considering major energy sustainability projects
RCUOG as a part of UOG
UOG Board of Regents and UOG President will be fiscally responsible for RCUOG through the appointed board.
Bylaws will restrict activities to the core mission of research and education.
RCUOG will be included in annual UOG audit and oversight.
Federal law, regulations, and OMB policy will govern fiscal management practice.

RCUOG: A Strategic Link for Developing our Communities
RCUOG supports UOG's mission by providing new opportunities for dynamic social, environmental, economic and intellectual growth.
RCUOG allows the University to strategically position itself for competitive proposals for research funding that require responsive grants administration (i.e. RCUOG is a key component of a BUILD planning grant of $125K and of an EPSCOR proposal for 5 years, $12.9 million)
Through the creation of a new Graduate Assistance Programs for Scholars (GAPS) - graduate students will be enrolled in programs that engage them in research activities and will be eligible for tuition benefits.
RCUOG will contribute to an innovation eco-system for Guam.
RCUOG will collaborate with industry throughout the region to grow and sustain a viable economic work force.

President Underwood goes on to state that the University of Guam plans to make research partnerships with Universities like the University of California Davis (who was present at the hearing), and needs RCUOG to generate entrepreneurship and Research University partnerships.

Vice Speaker Benjamin J.F. Cruz

“As Co-Sponsor I’d like to open things up with Senator Yamashita”

Senator Yamashita stated that she had concerns that there would be both a board for RCUOG when there already is a Board of Regents.

President Underwood states that the RCUOG board is more focused on the research activities of the University and their projects, and that all though there is overlap between RCUOG and UOG, their boards have different objectives and scope.

Senator Yamashita stated that she is concerned that the UOG Board of Regents and the RCUOG Board might have conflicting decisions on the same topics.

President Underwood states that he does not see that ever happening, since RCUOG is defining new functions for UOG, and has more of a focus on Research Projects.

Senator Pangelinan stated that he was concerned about what is included on page 3 of the bill which he quotes

“The purposes of the research corporation shall include, but not be limited to, the promotion of assigned educational, scientific, and literary pursuits of the University of Guam’s Grants and Contracts”

He states how he was confused to how they would determine what would be assigned to RCUOG from UOG and wouldn’t. He goes on to quote the remaining contents of the section, and states that he finds it redundant to what UOG is supposed to be doing or is doing.

President Underwood states that the section is intended to communicate that the section implies that the RCUOG will stay consistent with the core mission of the University, and that the intent is not to transfer the core mission to RCUOG from UOG.

Senator Pangelinan Quotes a section from page 5 of the bill.
"RCUOG will follow all the requirements applicable to each major grant program and on internal control over compliance in accordance with OMB Circulars A-133 and A-21, and appropriate Federal Acquisition Requirements."

He asks if that is a de facto exemption from the GovGuam procurement code.

**President Underwood**, States that the projects will be in compliance with their funding sources and contracts. He continues by stating that it is the intent to have that flexibility.

**Senator Pangelinan** states that the local procurement code should apply if the procurement code is not in conflict of the grant requirements.

Senator Pangelinan states that he recognized that RCUOG would also be exempt to the personnel policies and benefits of employees of the Government of Guam. He expresses his concern due to his understanding that some grants include funding to pay for the unfunded liability carried by the retirement fund.

**President Underwood** states that many of the grants and contracts do not cover those types of costs. President Underwood refers to SVP Dave O’Brien to better address Senator Pangelinan’s concern.

**Dave O’Brien, SVP at UOG** states that RCUOG will mostly employ short-term employees. He goes on to state that UOG will work with GGRF to address the concern of retirement of RCUOG employees it could apply to.

**Senator Pangelinan** states that he is confused to whether RCUOG is critical for UOG to achieve its growth mission. Senator Pangelinan asks if they need RCUOG to achieve its goals.

**Dr John Peterson** states that he has been involved with an organization of Research Corporations comprised of 200 Universities. He goes on to clarify that the 200 did not represent all of the universities that had research corporations or foundations. He states that research foundations have proved successful for universities in their growth of their research programs and projects.

**Dave O’Brien** states that the University of the District of Columbia is currently trying to charter a research corporation and that it had many similar attributes to the University of Guam, in size and size of grants it goes after. He states that it is indicative of the need that even small public schools have for research corporations/foundations, since they provide the flexibility and the research infrastructure to go after grants and establish partnerships.
Senator Limtiaco asks President Underwood if RCUOG is a prerequisite or necessary for the EPSCOR grant.

President Underwood states that it RCUOG would optimize the use of that grant. He states that the EPSCOR grant is for small universities that are not within research corridors like Silicon Valley to develop infrastructure for research. He states that a research corporation will help to facilitate that infrastructure. He states once the University is able to develop a more mature infrastructure they can build partnerships like between the school of engineering and school of business with other businesses or schools like the UC Berkeley to develop programs. The research corporation would allow the University to commercialize its research and build its partnerships. He states that that is the point of the RCUOG.

He states that UOG currently has a drop off situation, where they drop students into the job market or research into the public, but they do not recycle it, nor do they commercialize it. He goes on to state that RCUOG would allow UOG to commercialize their research and their programs, and cultivate entrepreneurship on Guam and with its students. He states that RCUOG would allow UOG to take in startup money and provide its services like a business, and have business partnerships where the university can be a major stakeholder and have a vested interest.

Senator Cruz invites Dr McNich to testify.

Dr McNich of the University of Guam, provided oral testimony in support of RCUOG but states concerns regarding the powers of the RCUOG board. He states that there needs to be a process documented to handle its personnel policies since the board essentially replaces the Civil Service Commission. He states that there is a significant need for a structure and foundation to properly staff and manage this corporation.

Senator Cruz asks if anyone had questions for Dr McNich, and no one responds.

III. FINDINGS AND RECOMMENDATIONS

The Committee on General Government Operations and Cultural Affairs to which was referred Bill No. 190-32 (COR) - B.J.F. Cruz / J.T. Won Pat, Ed.D / A.A. Yamashita, Ph.D. – “An act to add a new Chapter 28 to Division 2 of Title 17 Guam Code Annotated relative to the establishment of the Research Corporation, University of Guam (RCUOG)” hereby submits these findings to I Mina’ Trentai Uma na Liheslaturan Guåhan and reports out Bill No. 190-32 (COR) with a recommendation to Pass.
IMINA’ TRENATI DOS NA LIHESLURAN GUÁHAN

2013 (FIRST) Regular Session

Bill No. 190-32 (WR)

Introduced by:  
B.J.F. Cruz  
Judith T. Won Pat, Ed. D.  
A.A. Yamashita, Ph.D.

AN ACT TO ADD A NEW CHAPTER 28 TO DIVISION 2 OF TITLE 17 GUAM CODE ANNOTATED RELATIVE TO THE ESTABLISHMENT OF THE RESEARCH CORPORATION, UNIVERSITY OF GUAM (RCUOG).

BE IT ENACTED BY THE PEOPLE OF GUAM:

Section 1. Legislative Intent and Findings. I Lihesluran Guahan finds that the University of Guam has the capacity to grow and expand its economic, social and environmental benefits to the people of Guam and the region, while fulfilling its mission as the premier institution of higher education in the region, by engaging more in research and educational programs funded by private and federal sponsors, to include business development through commercialization of research and public-private partnerships. These private contracts and federal grants complement the government of Guam’s appropriation to the University by adding new revenues that assist in sustaining the University and enabling it to grow.
I Liheslaturan Guahan further finds that in 2011 University of Guam revenues from grants and contracts amounted to $49 million, growing $11 million (29%) over the prior year. Since 2004 the University has more than doubled the value of federal grants and contracts. This rate of increase has led to challenges in managing such remarkable growth and puts at risk the University’s ability to seek and achieve further grant and contract growth.

I Liheslaturan Guahan further finds that states and jurisdictions have implemented over 200 research corporations at or affiliated with universities that have led to dramatic growth in grant and contract awards through infrastructure, regulations and systems that are tailored to the research environment. These states have crafted legislation that provides best practice; the flexibility required for grants management while remaining compliant with rules and regulations such as federal OMB Circulars A-21 and A-133; and the agility needed to grow grants and contracts to the benefit of their jurisdictions and constituents.

THEREFORE, it is the intent of I Liheslaturan Guahan to create a new entity, to be called the Research Corporation of the University of Guam (RCUOG).

Section 2. A new Chapter 28 is hereby added to Division 3 of Title 17 Guam Code Annotated to read as follows:

“Chapter 28.

RESEARCH CORPORATION OF THE UNIVERSITY OF GUAM

(RCUOG)

§2801. Establishment of the Research Corporation.

§2802. Purpose.

§2803. Administration.
§2801. Establishment of the Research Corporation. There is established as a body corporate, the Research Corporation of the University of Guam ("RCUOG", "Corporation"). The Corporation shall be a 501(c)(3) public corporation incorporated on Guam and shall operate as an integral part of the University of Guam ("University", "UOG").

§2802. Purpose. The purposes of the Corporation shall include, but not be limited to, the promotion of assigned educational, scientific, and literary pursuits of the University of Guam's Grants and Contracts by:

(a.) encouraging, initiating, aiding, developing, and conducting training, research, and study in the physical, biological, and social sciences, humanities and all other branches of learning;

(b.) encouraging and aiding in the education and training of persons for the conduct of the training, investigations, research, and study;

(c.) furnishing the means, methods, and agencies by which the training, investigation, research, and study may be conducted, in support of the mission and best practices of the University of Guam;
(d.) assisting in the dissemination of knowledge by establishing, aiding, and maintaining professorships or other staff positions, fellowships, scholarships, publications, and lectures;
(e.) engaging in other means of making the benefits of training, investigations, research, and study available to the public; and
(f.) taking any and all other actions reasonably designed to promote these purposes in the interest of promoting the general welfare of the people of the Guam.

§2803. Administration. The affairs of the Corporation shall be under the general management and control of the RCUOG Board of Directors.

(a.) Composition of Board of Directors. The Board of Directors shall consist of up to ten members, as follows:

(1) Ex Officio Chief Executive Officer – University of Guam President;
(2) Ex Officio Member – Senior Vice President of Academic and Student Affairs;
(3) Ex Officio Member – Vice President of Administration and Finance;
(4) UOG President Appointee of up to four (4) Members (minimum of two representing the community at large);
(5) UOG Research Council Appointee of one Member;
(6) UOG Faculty Senate Appointee of one Member; and
(7) UOG Board of Regents Appointees of two UOG Regent Members.
(b.) All members of the Board of Directors shall serve without pay, but shall be entitled to reimbursement for necessary expenses while attending meetings and while in the discharge of duties and responsibilities.

(c.) The Chairperson of the Board of Directors shall be the President, University of Guam. The Senior Vice President and Vice President for Administration and Finance shall serve as ex-officio members of the Board.

§2804. Officers and Employees of the Research Corporation.

(a.) RCUOG will follow all the requirements applicable to each major grant program and maintain internal control over compliance in accordance with OMB Circulars A-133 and A-21, and appropriate Federal Acquisition Requirements.

(b.) RCUOG employees shall be governed by the RCUOG Board of Directors. Rules and regulations governing the selection, compensation, promotion, performance evaluation, disciplinary action and other terms and conditions of employment, affecting RCUOG personnel shall be adopted by the Board. The Board may establish or abolish positions as necessary for the purposes herein.

(c.) The Board of Directors shall appoint the Executive Director of RCUOG.

(d.) The RCUOG Board of Directors shall establish an employment policy for the Research Corporation as necessary in administering the affairs of the RCUOG.

(e.) Notwithstanding any other provision of law, rule, or regulation, employees of the Research Corporation shall be unclassified public employees. The Board of Directors shall set the employees’ duties, responsibilities, salaries, holidays, leaves, hours of work, and working conditions. The Board of Directors may grant such other benefits to its employees as it deems necessary and in accordance with RCUOG’s employment policy and federal employment laws.
(f.) The RCUOG Board of Directors shall promulgate rules and regulations for hiring, employment, procurement and property set forth by RCUOG Policy and the requirements applicable to each major grant program and on internal control over compliance in accordance with OMB Circulars A-133 and A-21, and Federal Acquisition Requirements.

(g.) Employees of the research corporation shall not be entitled to any benefits conferred under 4GCA PUBLIC OFFICERS AND EMPLOYEES CHAPTER 4 PERSONNEL POLICY AND THE CIVIL SERVICE COMMISSION relating to civil service, 4GCA PUBLIC OFFICERS AND EMPLOYEES CHAPTER 4 PERSONNEL POLICY AND THE CIVIL SERVICE COMMISSION relating to public service, 4GCA PUBLIC OFFICERS AND EMPLOYEES CHAPTER 8 RETIREMENT OF PUBLIC EMPLOYEES relating to pension and retirement systems, and the appropriate collective bargaining agreement, executive order, executive directive, or rule.

§2805. Authorized Activities. Notwithstanding any other provision of law, rule, or regulation, the RCUOG is authorized to conduct the following activities:

(a.) to create, adopt, amend, and repeal bylaws governing the conduct of its business and the exercise of the powers and performance of duties granted to it or imposed upon it by law;

(b.) to enter into and perform such contracts, leases, cooperative agreements, grants or other transactions on behalf of the University as it may be necessary to facilitate the conduct of the authorized activities described in sections herein;

(c.) to buy, sell, lease, rent, hold, maintain, use and operate any property, real, personal, or mixed, tangible or intangible, in accordance with the conditions under which it was received and under the purposes of the RCUOG;

(d.) to submit each year for the approval of the board of directors an annual budget; such budget will include projected income, including indirect/Facilities
and Administrative revenue and a cost of operating expenses of the Corporation as
well as the distribution plan of the net proceeds for the University’s use, which
shall include a reasonable corporate reserve; the allocation of indirect/Facilities
and Administrative cost will be determined by the Board of Directors and
following UOG-BOR Resolution 08-40:

(e.) to receive through University policies and procedures by gifts, grants,
devises, bequests, or otherwise from private or public sources, any property, real
personal, or mixed, intangible or tangible, absolutely or in trust, to be used and
disposed of, either the principal or the income therefrom, in accordance with the
conditions under which it was received; except that no gift to the Corporation shall
be accepted unless approved or confirmed by the RCUOG Board of Directors;

(f.) to facilitate multi-year contracts;

(g.) to have a corporate seal;

(h.) to sue and to be sued in its own name and to indemnify the University
against losses incurred specific to that activity;

(i.) to serve as trustee or beneficiary under terms of any gift, indenture, or
will;

(j.) to apply for, take out, receive by purchase or gift, hold, administer, and
dispose of copyrights, patent rights, licenses, assignments of inventions,
discoveries, processes, and other property, rights or interests therein, the income
thereof, absolutely or subject to such conditions or trusts as may be attached
thereto or be imposed thereon, and to obligate itself to perform and execute any
and all such conditions or trusts in coordination with UOG’s Intellectual Property
Policy;

(k.) to conduct research, studies, experiments, investigations, and tests in all
fields of knowledge; to promote and develop the scientific and commercial value
of inventions, discoveries, and processes; and to make published, and distribute the
results thereof;

(l.) to do any and all other acts reasonably necessary to carry out the objects
and purposes of the Corporation and the University, as approved by the University
and the RCUOG Board of Directors;

(m.) to coordinate and correlate activities and projects of the Corporation
with the work of Guam agencies for the purpose of relating research work to the
economic development of Guam whenever practical or desirable;

(n.) to stimulate and promote cooperative research projects and activities;

(o.) to establish and maintain, or to assist in establishing and maintaining,
scholarships, fellowships, and professorships, and other staff positions for the
purpose of aiding in the acquisition and dissemination of knowledge and to enter
into agreements or contracts with other corporations, organizations, institutions, or
persons for this purpose and to pay the necessary and appropriate expenses
therefor;

(p.) to prepare, print, or publish any manuscript, research article, report,
study, discussion, reference, collection, or any pictorial or schematic representation
or group or collection thereof, whether it belongs to or is the work of any Guam
agency or its employees, or the University or one of its faculty members or
employees, or the Corporation or its employees, or a contractor of RCUOG. The
printing or publication may be accomplished through whatever person, company,
or agency is deemed most appropriate by the board of directors.

§2806. Procurement. RCUOG shall adopt a procurement policy approved
by RCUOG Board aligned with sound business practices and consistent with
federal program rules and regulations as applicable to grants, and contracts, such as
but not limited to OMB Circular A-21.
§2807. Annual Report. The Corporation shall submit an annual report to the University Of Guam Board of Regents, the Governor of Guam, and the Guam Legislature.

The report shall include but not be limited to the Corporation’s audited financial statements including its balance sheet, statement of income and expense, and statement of cash flows and progress and accomplishments during the year.

§2808. Dissolution. In the event of the dissolution of the Corporation, all of its property, real, personal, and mixed and wheresoever situated, shall vest immediately and absolutely in the University of Guam.

§2809. Special Account. The Corporation shall be authorized to set up a special account for depositing moneys received from either public or private contracts, or from private or public grants, awards, or gifts. All disbursements shall be drawn on the special account upon checks prepared and signed, as approved by the Executive Director of the RCUOG and at least one other RCUOG officer or employee authorized by the RCUOG Board of Directors.

§2810. RCUOG Excepted from certain Guam Laws. Unless otherwise specifically stated in law, RCUOG is excepted from the following Guam laws:

(a.) 5GCA GOVERNMENT OPERATIONS CH. 22 GENERAL FISCAL POLICIES AND CONTROLS relating to the management and regulation of expenditures with the use of public funds;

(b.) 4GCA PUBLIC OFFICERS AND EMPLOYEES CHAPTER 4 PERSONNEL POLICY AND THE CIVIL SERVICE COMMISSION relating to public employment and the Civil Service Commission;

(c.) [4GCA PUBLIC OFFICERS & EMPLOYEES CH 8 RETIREMENT OF PUBLIC EMPLOYEES] relating to pension and retirement systems;
(d.) 5 GCA GOVERNMENT OPERATIONS CH. 5 GUAM
PROCUREMENT LAW, relating to the procurement of good and services with
public funds.

§2811. Severability. If any provision of this Act, or the application thereof
to any person or circumstances is held invalid, the invalidity does not affect other
provisions or applications of the Act that can be given effect without the invalid
provisions or application, and to this end the provisions of this Act are severable.
<table>
<thead>
<tr>
<th>Name</th>
<th>Agency or Organization</th>
<th>Position</th>
<th>Oral Testimony</th>
<th>Written Testimony</th>
<th>Oppose</th>
<th>Support</th>
<th>E-mail Address</th>
<th>Phone No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>JOHN PELASIN</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TELLIES</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Gurn (Meauco)**

Division 2 of Title 17 Guam Code Annotated relative to the establishment of the Research Corporation University of Guam.

Bill No. 190-32 (COR) - B.P. Cruz / T. Won Pat, EdD / A.A. Yamashita, Ph.D. - An act to a new chapter 26 to

**Liheshauna Public Hearing Room**

Thursday, November 14, 2013 - 10:00AM

PUBLIC HEARING SIGN-IN SHEET

Phone: (671) 477-2204 • Fax: (671) 477-3222
115 Hafa Da'ete, Hagåtña, Guam 96910
www.guamlegislature.com
Committee on Natural Government Operations
Senator Benjamin J.R. Cruz, Vice Speaker
Sent from my iPad

Begin forwarded message:

From: Ron McNinch <govguam@gmail.com>
Date: November 22, 2013, 2:06:05 PM GMT+10:00
To: "B.J.F. Cruz" <senadotbjcruz@gmail.com>
Subject: Bill 190 Input Final Input

Ron McNinch PhD, SPHR

SBPA-PALS

University of Guam

Input for Bill 190, Related to a University of Guam Research Corporation

Dear Vice Speaker Cruz and Senators,

As a faculty leader (division chair and SBPA Delegate to the Faculty Senate), I appreciate the opportunity to provide input to the legislation for the UOG Research Corporation. In general, I support the concept of a university research corporation and believe there are some advantages to this type of construct.

The University of Guam is a public higher education institution and as such must comply with all Guam laws, and various federal laws. This bill would exempt the university from a number of local laws applicable to public agencies and provide some flexibility in hiring and related areas.

The following is a summary of input points related to this bill:

1. Academic Freedom Concerns: In the university charter, WASC requirements and other areas, academic freedom is a fundamental principle of university life. Academic freedom is not even whispered in this bill. Specific researcher academic freedom protections should be identified and noted in this legislation. My larger point here is a more basic academic freedom concern. Under the current university charter, the university administration has a duty to protect academic freedom in all areas. This is why including academic freedom protections is so important in this research corporation bill.

2. University Mission Contrasts: Under section 2802 of this bill, a number of primary university mission areas are cited as research corporation areas. In effect, this seems to duplicate areas within the university. If this is indeed the case, the legislature should
create a university system and place this corporation as a unit entity under the system along with GCC. This would allow all higher education institutions to readily share core services (library, student services, registrar, financial aid, etc...) without duplication. This would involve upgrading the UOG President to Chancellor of the system.

3. Personnel and Employment Rules: Following PL 23-26 in 1995, UOG has experienced a significant process gap in academic employment. In effect, all major functions of the civil service and other areas such as EEO for academic employees were placed within the university. UOG failed to address some of these gaps. Before this bill is passed, the Guam Legislature should require UOG to demonstrate that it has filled these existing employment gaps for faculty at UOG and will mirror basic employment processes in this new organization.

4. In effect, there is a potential duality in employment. Faculty paid by this corporation could engage in things on behalf of the corporation that are contrary to core university mission areas. This should be reconciled within the university via internal policy.

5. 2803 Concerns: At 2803.a.6, the university faculty senate is allowed to appoint a member to this corporation board. In general, the Guam Legislature should not grant the faculty senate this power but should rather allow the entire UOG fulltime faculty to elect a person to this position. The term should be fixed for one to two years and the members should be limited to one term of service as the faculty elected member.

6. In general, this bill may provide needed flexibility within the university and a normal evaluation period of three to five years might be added to this legislation to provide for a policy cycle or transition process. For example, the university may want to expand, decrease, sustain or end this corporation depending on the outcomes.

Sincerely, /s/ Ron McNinch

---

Ron McNinch, PhD, SPHR, CFE
671- 488-8889

Ridentem dicere verum quid vetat. - Horace
University of Guam’s President Underwood’s Presentation Materials for Oral Testimony on November 14, 2013
MOVING FROM GOOD TO GREAT

- UOG involved in G2G process to evaluate all programs in terms of mission, sustainability, quality and demand
- UOG is at crossroads to identify what it can be great at, find its passion and develop the resources to carry it out
- We understand the link between program, passion and resources
UOG MISSION STATEMENT

- INA, DESKUBRE, SETBE
- Regional purpose
- Resource engine of UOG requires a mixture of sources
- Future growth of UOG requires new and flexible administrative/financial infrastructure

GOVERNANCE AND FUNDING

Future UOG growth and service to Guam and Region requires a new design to increase flexibility and be responsive to funding cycles and sources
RCUOG IN OPERATION

- RCUOG allows growth and contraction for start up and completion of projects
- RCUOG will ensure compliance with federal and sponsoring agencies regulations and highest standards of grant administration
- RCUOG will be a model of adhering to ethical and professional values

RCUOG POTENTIAL

- RCUOG will allow UOG to develop a innovation ecosystem for new economic activities combined with research activities
- RCUOG will allow UOG to add research topics without being limited by existing infrastructure
- RCUOG will facilitate partnerships with private sector and other universities
Certification of

Waiver of

Fiscal Note Requirement

This is to certify that the Committee on Rules submitted to the Bureau of Budget and Management Research (BBMR) a request for a fiscal note, or applicable waiver, on BILL NO. 190-32 (COR), “AN ACT TO ADD A NEW CHAPTER 28 TO DIVISION 2 OF TITLE 17 GUAM CODE ANNOTATED RELATIVE TO THE ESTABLISHMENT OF THE RESEARCH CORPORATION, UNIVERSITY OF GUAM (RCUOG).” – on September 24, 2013. COR hereby certifies that BBMR confirmed receipt of this request September 24, 2013 at 12:57 PM.

COR further certifies that a response to this request was not received. Therefore, pursuant to 2 GCA §9105, the requirement for a fiscal note, or waiver thereof, on Bill 190-32 to be included in the committee report on said bill, is hereby waived.

Certified by:

[Signature]

January 27, 2014

Date

Senator Rory J. Respicio

Chairperson, Committee on Rules
September 24, 2013

VIA E-MAIL

john.rios@bbmr.guam.gov

John A. Rios
Director
Bureau of Budget & Management Research
P.O. Box 2950
Hagåtña, Guam 96910

RE: Request for Fiscal Notes—Bill Nos. 177-32(COR) through 180-32(COR); 184-32(COR) through 188-32(COR); and 188-32(COR) through 193-32(COR)

Hafa Adai Mr. Rios:

Transmitted herewith is a listing of 1 Mina’iento Doe na Liheslaturan Guåhan’s most recently introduced bills. Pursuant to 2 GCA §9103, I respectfully request the preparation of fiscal notes for the referenced bills.

Si Yu’os ma’ase’ for your attention to this matter.

Very Truly Yours,

[Signature]

Senator Rory J. Respicio
Chairperson, Committee on Rules

Attachments (1)

Cc: Clerk of the Legislature
<table>
<thead>
<tr>
<th>Bill Nos.</th>
<th>Sponsor</th>
<th>Title</th>
</tr>
</thead>
<tbody>
<tr>
<td>180-32 (COR)</td>
<td>B.J.F. Cruz T.C. Ada</td>
<td>AN ACT TO AMEND SECTION 30102(a) OF CHAPTER 30, TITLE 5 GUAM CODE ANNOTATED, TO REQUIRE AGENCIES PERMITTED TO RETAIN COUNSEL OTHER THAN THE ATTORNEY GENERAL, TO HIRE UNCLASSIFIED, IN-HOUSE COUNSEL.</td>
</tr>
<tr>
<td>184-32 (COR)</td>
<td>Aline A. Yamashita, Ph.D., V. Anthony Ada, Brant T. McCreddie, Tommy Morrison, Michael T. Limtiaco, Chris M. Dueñas</td>
<td>AN ACT TO APPROPRIATE THE SUM OF THREE MILLION DOLLARS ($3,000,000) FROM SECTION 30 TAX REVENUES TO THE DEPARTMENT OF EDUCATION FOR THE IMPLEMENTATION OF PROVISIONS OF PUBLIC LAW NO. 31-29.</td>
</tr>
<tr>
<td>185-32 (COR)</td>
<td>Michael T. Limtiaco, Brant T. McCreddie, V. Anthony Ada, Chris M. Dueñas, Tommy Morrison, Aline A. Yamashita, Ph.D.</td>
<td>AN ACT TO APPROPRIATE THE SUM OF FOUR HUNDRED FORTY NINE THOUSAND, ONE HUNDRED NINETY DOLLARS ($449,199) FROM SECTION 30 TAX REVENUES TO PURCHASE VEHICLES FOR THE GUAM POLICE DEPARTMENT.</td>
</tr>
<tr>
<td>186-32 (COR)</td>
<td>Tommy Morrison, Brant T. McCreddie, V. Anthony Ada, Chris M. Dueñas, Michael T. Limtiaco, Aline A. Yamashita, Ph.D.</td>
<td>AN ACT TO APPROPRIATE THE SUM OF THREE MILLION DOLLARS ($3,000,000) FROM SECTION 30 TAX REVENUES TO THE GUAM POLICE DEPARTMENT, THE GUAM FIRE DEPARTMENT, AND THE DEPARTMENT OF CORRECTIONS.</td>
</tr>
<tr>
<td>Bill Number</td>
<td>Sponsor(s)</td>
<td>Bill Title</td>
</tr>
<tr>
<td>-------------</td>
<td>------------</td>
<td>------------</td>
</tr>
<tr>
<td>109-32 (COR)</td>
<td>T.R. Muña Barnes, B. J.F. Cruz</td>
<td>AN ACT TO ADD A NEW § 80.50 TO ARTICLE 2, CHAPTER 80, TITLE 9 GUAM CODE ANNOTATED KNOWN AS THE JUSTICE SAFETY VALVE ACT OF 2013 RELATIVE TO EMPOWERING THE COURTS OF GUAM TO DEPART FROM APPLICABLE MANDATORY MINIMUM SENTENCES UNDER SPECIFIC CONDITIONS, AND FOR OTHER PURPOSES.</td>
</tr>
<tr>
<td>189-32 (COR)</td>
<td>Dennis G. Rodriguez, Jr.</td>
<td>AN ACT TO FACILITATE THE RECRUITMENT OF HARD-TO-FILL ALLIED HEALTH PROFESSIONAL POSITIONS, BY AMENDING §6229.14 OF CHAPTER 6, 4 GCA, AND SUBSECTION (a) OF § 12805, ARTICLE 8, CHAPTER 12, PART 1, 10 GCA.</td>
</tr>
<tr>
<td>190-32 (COR)</td>
<td>B. J.F. Cruz, Judith T. Wen Pat, Ed.D., Aline A. Yamashita, Ph.D.</td>
<td>AN ACT TO ADD A NEW CHAPTER 28 TO DIVISION 2 OF TITLE 17 GUAM CODE ANNOTATED RELATIVE TO THE ESTABLISHMENT OF THE RESEARCH CORPORATION, UNIVERSITY OF GUAM (RCUOG).</td>
</tr>
<tr>
<td>191-32 (COR)</td>
<td>Frank B. Aguon, Jr.</td>
<td>AN ACT TO AMEND PUBLIC LAW 31-235, RELATIVE TO THE WOMEN'S REPRODUCTIVE HEALTH INFORMATION ACT OF 2012.</td>
</tr>
<tr>
<td>192-32 (COR)</td>
<td>B. J.F. Cruz</td>
<td>AN ACT TO ADD A NEW § 64.15, § 64.16, AND § 64.17 TO CHAPTER 64 OF TITLE 9 OF THE GUAM CODE ANNOTATED, RELATIVE TO THE PROHIBITION AND FORFEITURE OF ELECTRONIC MACHINES OR DEVICES TO CONDUCT SWEEPSTAKES GAMBLING.</td>
</tr>
<tr>
<td>193-32 (COR)</td>
<td>Dennis G. Rodriguez, Jr.</td>
<td>AN ACT TO AMEND SECTION 4 OF PUBLIC LAW 31-235 TO DELETE THE PROVISION REQUIRING THE &quot;PRINTED MATERIALS&quot; AND THE &quot;CHECKLIST CERTIFICATION&quot; TO UNDERGO THE RULE MAKING PROCESS PURSUANT TO THE ADMINISTRATIVE ADJUDICATION LAW.</td>
</tr>
</tbody>
</table>
September 26, 2013

MEMORANDUM

To: Rennae Meno  
   Clerk of the Legislature

Attorney Therese M. Terlaje  
   Legislative Legal Counsel

From: Senator Rory J. Respicio  
   Majority Leader & Rules Chair

Subject: Referral of Bill No. 190-32(COR)

As the Chairperson of the Committee on Rules, I am forwarding my referral of Bill No. 190-32(COR).

Please ensure that the subject bill is referred, in my name, to the respective committee, as shown on the attachment. I also request that the same be forwarded to all members of I Mina'trentai Dos na Liheslaturan Guåhan.

Should you have any questions, please feel free to contact our office at 472-7679.

Si Yu'os Ma'åse!

Attachment
<table>
<thead>
<tr>
<th>Fiscal Notes</th>
<th>Bill Log Sheet</th>
<th>Title</th>
<th>Sponsor</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Title:** An Act to Add a New Chapter 28 to Title 17 Guam Code

**Sponsor:**

**Bill Log Sheet**

<table>
<thead>
<tr>
<th>Fiscal Notes</th>
<th>Bill Log Sheet</th>
<th>Title</th>
<th>Sponsor</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Title:** An Act to Add a New Chapter 28 to Title 17 Guam Code

**Sponsor:**
FIRST NOTICE of Public Hearing - November 14, 2013

Tessa Weidenbacher <tessa@senatorbrcruz.com>
To: phnotice@guamlegislature.org
Cc: cor@guamlegislature.org, mis <mis@guamlegislature.org>
Bcc: "Judith S. Flores" <judyflores@guam.net>, milbasquiat@hotmail.com

November 6, 2013

MEMORANDUM

To: All Members / All Senators

From: Vice Speaker Benjamin J.F. Cruz, Chairman

Re: FIRST NOTICE of Public Hearing -- November 14, 2013

Hafa Adai! The Committee on General Government Operations and Cultural Affairs will conduct a Public Hearing of Bills beginning at 10:00AM and a Confirmation Hearing beginning at 2:00PM on Thursday, November 14, 2013, in the Liheslaturu Public Hearing Room with the following agenda:

10:00AM - Public Hearing of Bills

- Bill No. 190-32 (COR) - B.J.F. Cruz / J.T. Won Pat, Ed.D. / A.A. Yamashita, Ph.D. - An act to a new Chapter 28 to Division 2 of Title 17 Guam Code Annotated relative to the establishment of the Research Corporation, University of Guam (RCUOG).
- Bill No. 201-32 (COR) - B.J.F. Cruz - An act to add a new §5141 to Chapter 5 of Title 5 of the Guam Code Annotated, relative to establishing system of training, continuing education, and certification for government of Guam procurement personnel.
- Bill No. 212-32 (COR) - T.R. Muña Barnes / J.T. Won Pat, Ed.D. - An act to add a new Chapter 59A to Title 5 of the Guam Code Annotated, relative to the procuring, installing, operating, leasing, maintaining, refurbishing, and replacing of energy-efficient streetlights for the entire island of Guam also known as the "Island-Wide Energy Efficient Street Light Act of 2013"
- Bill No. 214-32 (COR) - M.F.Q. San Nicolas - An act to allow employees of government of Guam agencies and instrumentalities to apply payroll deductions to registered non-profits, by amending §20111 of Article 1, Chapter 20, Title 5, Guam Code Annotated.

2:00PM - Confirmation Hearing

- The Executive Appointment of Dr. Judith S. Flores to Member of the Council on the Arts and Humanities Agency.
- The Executive Appointment of Dr. Michael L. Bevacqua to Literature Arts Member of the Council on the Arts and Humanities Agency.

Testimonies may be submitted via hand delivery to the Office of the Vice Speaker Benjamin J.F Cruz at the Guam Legislature, via postal mail to 155 Hesler Street, Hagåtña Guam 96910; via facsimile to 477-2522; or via e-mail to senator@senatorbrcruz.com. Please submit testimonies at least one day prior to the date of the hearing.

All government activities, programs, and services are accessible for people with disabilities in compliance with Title II of the Americans with Disabilities Act (ADA). Should you or interested parties require assistance or special accommodations to fully participate in this public hearing, please contact Mr. Carlo J. Branch at the Office of the Vice Speaker Benjamin J.F. Cruz at 477-2521 or via e-mail at carlo.branch@senatorbrcruz.com.

We look forward to your attendance and participation.

---

Tessa Weidenbacher
Senior Research Analyst
Office of the Vice Speaker
Senator Benjamin J.F. Cruz
Chairman, Committee on General Government Operations and Cultural Affairs
155 Hesler Place, Suite 107
Hagåtña, Guam 96910
Phone: (671) 477-2521 | Fax: (671) 477-2522
http://www.senatorbrcruz.com

2 attachments
- 1st Notice Memo PH 11142013.pdf
  112K
- 1st Notice PR PH 11142013.pdf
  110K
November 6, 2013

MEMORANDUM

To: All Members/All Senators

From: Vice Speaker Benjamin J.F. Cruz, Chairman

Re: FIRST NOTICE of Public Hearing - November 14, 2013

Häfa Adai! The Committee on General Government Operations and Cultural Affairs will conduct a Public Hearing of Bills beginning at 10:00AM and a Confirmation Hearing beginning at 2:00PM on Thursday, November 14, 2013, in the 1 Liheslatura Public Hearing Room with the following agenda:

10:00AM - Public Hearing of Bills

- **Bill No. 190-32 (COR)** - B.J.F. Cruz / J.T. Won Pat, Ed.D. / A.A. Yamashita, Ph.D. - An act to a new Chapter 28 to Division 2 of Title 17 Guam Code Annotated relative to the establishment of the Research Corporation, University of Guam (RCUOG).

- **Bill No. 201-32 (COR)** - B.J.F. Cruz - An act to add a new §5141 to Chapter 5 of Title 5 of the Guam Code Annotated; relative to establishing system of training, continuing education, and certification for government of Guam procurement personnel.

- **Bill No. 212-32 (COR)** - T.R. Muña Barnes / J.T. Won Pat, Ed.D. - An act to add a new Chapter 59A to Title 5 of the Guam Code Annotated, relative to the procuring, installing, operating, leasing, maintaining, refurbishing, and replacing of energy-efficient streetlights for the entire island of Guam also known as the “Island-Wide Energy Efficient Street Light Act of 2013”.

- **Bill No. 214-32 (COR)** - M.F.Q. San Nicolas - An act to allow employees of government of Guam agencies and Instrumentalities to apply payroll deductions to registered non-profits, by amending §20111 of Article 1, Chapter 20, Title 5, Guam Code Annotated.

2:00PM - Confirmation Hearing

- The Executive Appointment of Dr. Judith S. Flores as Member of the Council on the Arts and Humanities Agency.

- The Executive Appointment of Dr. Michael L. Bevacqua as Literature Arts Member of the Council on the Arts and Humanities Agency.
Testimonies may be submitted via hand delivery to the Office of the Vice Speaker Benjamin J.F Cruz at the Guam Legislature; via postal mail to 155 Hesler Street, Hagåtña Guam 96910; via facsimile to 477-2522; or via e-mail to senator@senatorbjcruz.com. Please submit testimonies at least one day prior to the date of the hearing.

All government activities, programs, and services are accessible for people with disabilities in compliance with Title II of the Americans with Disabilities Act (ADA). Should you or interested parties require assistance or special accommodations to fully participate in this public hearing, please contact Mr. Carlo J. Branch at the Office of the Vice Speaker Benjamin J.F Cruz at 477-2521 or via e-mail at carlo.branch@senatorbjcruz.com.

We look forward to your attendance and participation.
SECOND NOTICE of Public Hearing – November 14, 2013 (AMENDED)

Tessa Weidenbacher <tessa@senatorbjcruz.com>  
To: pnotice@guamlegislature.org  
Cc: mis <mis@guamlegislature.org>, cor@guamlegislature.org  

November 12, 2013

MEMORANDUM

To: All Members/All Senators

From: Vice Speaker Benjamin J.F. Cruz, Chairman

Re: SECOND NOTICE of Public Hearing – November 14, 2013 (AMENDED)

Hāfa Adai. The Committee on General Government Operations and Cultural Affairs will conduct a Public Hearing of Bills beginning at 10:00AM and a Confirmation Hearing beginning at 2:00PM on Thursday, November 14, 2013, in the I Liheslaturu Public Hearing Room with the following agenda:

10:00AM - Public Hearing of Bills

- Bill No. 190-32 (COR) – B.J.F. Cruz / J.T. Won Pat, Ed.D. / A.A. Yamashita, Ph.D. – An act to a new Chapter 28 to Division 2 of Title 17 Guam Code Annotated relative to the establishment of the Research Corporation, University of Guam (RCUOG).
- Bill No. 201-32 (COR) – B.J.F. Cruz – An act to add a new §5141 to Chapter 5 of Title 5 of the Guam Code Annotated, relative to establishing system of training, continuing education, and certification for government of Guam procurement personnel.
- Bill No. 212-32 (COR) – T.R. Muña Barnes / J.T. Won Pat, Ed.D. – An act to add a new Chapter 59A to Title 5 of the Guam Code Annotated, relative to the procuring, installing, operating, leasing, maintaining, refurbishing, and replacing of energy-efficient streetlights for the entire island of Guam also known as the “Island-Wide Energy Efficient Street Light Act of 2013.”
- At the request of the author, Bill No. 214-32 (COR) [M.F.Q. San Nicoías – An act to allow employees of government of Guam agencies and instrumentalities to apply payroll deductions to registered non-profits, by amending §20111 of Article 1, Chapter 20, Title 5. Guam Code Annotated.] has been tabled until further notice.

2:00PM - Confirmation Hearing

- The Executive Appointment of Dr. Judith S. Flores as Member of the Council on the Arts and Humanities Agency.
- The Executive Appointment of Dr. Michael L. Bevacqua as Literature Arts Member of the Council on the Arts and Humanities Agency.

Testimonies may be submitted via hand delivery to the Office of the Vice Speaker Benjamin J.F. Cruz at the Guam Legislature; via postal mail to 155 Hesler Street, Hagåtña Guam 96910; via facsimile to 477-2522; or via e-mail to senator@senatorbjcruz.com. Please submit testimonies at least one day prior to the date of the hearing.

All government activities, programs, and services are accessible for people with disabilities in compliance with Title II of the Americans with Disabilities Act (ADA). Should you or interested parties require assistance or special accommodations to fully participate in this public hearing, please contact Mr. Carlo J. Branch at the Office of the Vice Speaker Benjamin J.F Cruz at 477-2521 or via e-mail at carlo.branch@senatorbjcruz.com.

We look forward to your attendance and participation.

Tessa Weidenbacher  
/senior research analyst/

Vice Speaker Benjamin J.F. Cruz  
I Minaretnai Dos Na Liheslaturan Guåhan  
Phone: (671) 477-2520/1 | Fax: (671) 477-2522  
http://www.senatorbjcruz.com

2 attachments

- 2nd Notice Memo PH 11142013.pdf  
  112K
- 2nd Notice PR PH 11142013.pdf  
  111K
<table>
<thead>
<tr>
<th>Email Address</th>
</tr>
</thead>
<tbody>
<tr>
<td><a href="mailto:aaladi@guampdn.com">aaladi@guampdn.com</a></td>
</tr>
<tr>
<td><a href="mailto:action@weareguahan.com">action@weareguahan.com</a></td>
</tr>
<tr>
<td><a href="mailto:admin@frankaguonjr.com">admin@frankaguonjr.com</a></td>
</tr>
<tr>
<td><a href="mailto:admin@guamrealtors.com">admin@guamrealtors.com</a></td>
</tr>
<tr>
<td><a href="mailto:admin@leapguam.com">admin@leapguam.com</a></td>
</tr>
<tr>
<td><a href="mailto:admin@weareguahan.com">admin@weareguahan.com</a></td>
</tr>
<tr>
<td><a href="mailto:agnes@judiwonpat.com">agnes@judiwonpat.com</a></td>
</tr>
<tr>
<td><a href="mailto:aguon4guam@gmail.com">aguon4guam@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:aherandez@guamlegislature.org">aherandez@guamlegislature.org</a></td>
</tr>
<tr>
<td><a href="mailto:ajuan@kijifm104.com">ajuan@kijifm104.com</a></td>
</tr>
<tr>
<td><a href="mailto:alerta.jermaine@gmail.com">alerta.jermaine@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:aline4families@gmail.com">aline4families@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:am800guam@gmail.com">am800guam@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:amanda@toduguan.com">amanda@toduguan.com</a></td>
</tr>
<tr>
<td><a href="mailto:amanda@judiwonpat.com">amanda@judiwonpat.com</a></td>
</tr>
<tr>
<td><a href="mailto:amier@nvguam.com">amier@nvguam.com</a></td>
</tr>
<tr>
<td><a href="mailto:ang.duenas@gmail.com">ang.duenas@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:aokada@guamlegislature.org">aokada@guamlegislature.org</a></td>
</tr>
<tr>
<td><a href="mailto:ataligba@gmail.com">ataligba@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:av@guamlegislature.org">av@guamlegislature.org</a></td>
</tr>
<tr>
<td><a href="mailto:avillaverde@guamlegislature.org">avillaverde@guamlegislature.org</a></td>
</tr>
<tr>
<td><a href="mailto:aven.guam@gmail.com">aven.guam@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:baza.matthew@gmail.com">baza.matthew@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:bbaubista@spbguam.com">bbaubista@spbguam.com</a></td>
</tr>
<tr>
<td><a href="mailto:bdydasco@yahoo.com">bdydasco@yahoo.com</a></td>
</tr>
<tr>
<td><a href="mailto:herthaduenas@guamlegislature.org">herthaduenas@guamlegislature.org</a></td>
</tr>
<tr>
<td><a href="mailto:betsy@spbguam.com">betsy@spbguam.com</a></td>
</tr>
<tr>
<td><a href="mailto:bmikelman@guampdn.com">bmikelman@guampdn.com</a></td>
</tr>
<tr>
<td><a href="mailto:brantforguam@gmail.com">brantforguam@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:breanna.lai@mail.house.gov">breanna.lai@mail.house.gov</a></td>
</tr>
<tr>
<td>bruce.lloyd@<a href="mailto:media@gmail.com">media@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:carlos.pangelinan@senatorbrcruz.com">carlos.pangelinan@senatorbrcruz.com</a></td>
</tr>
<tr>
<td><a href="mailto:carlsonc@pstripes.osd.mil">carlsonc@pstripes.osd.mil</a></td>
</tr>
<tr>
<td><a href="mailto:ccastro@guamchamber.com.gu">ccastro@guamchamber.com.gu</a></td>
</tr>
<tr>
<td><a href="mailto:ccharfauros@guamag.org">ccharfauros@guamag.org</a></td>
</tr>
<tr>
<td><a href="mailto:ccruz.duenas@gmail.com">ccruz.duenas@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:charissa.tenorio@senatorbrcruz.com">charissa.tenorio@senatorbrcruz.com</a></td>
</tr>
<tr>
<td><a href="mailto:chechsantos@gmail.com">chechsantos@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:cheerfulcatunao@yahoo.com">cheerfulcatunao@yahoo.com</a></td>
</tr>
<tr>
<td><a href="mailto:cherbert.senatorodrodriquez@gmail.com">cherbert.senatorodrodriquez@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:chris.budasi@guamlegislature.org">chris.budasi@guamlegislature.org</a></td>
</tr>
<tr>
<td><a href="mailto:christine.quinta@takecareasia.com">christine.quinta@takecareasia.com</a></td>
</tr>
<tr>
<td><a href="mailto:cipo@guamlegislature.org">cipo@guamlegislature.org</a></td>
</tr>
<tr>
<td><a href="mailto:clerks@guamlegislature.org">clerks@guamlegislature.org</a></td>
</tr>
<tr>
<td><a href="mailto:clifton@toduguan.com">clifton@toduguan.com</a></td>
</tr>
<tr>
<td><a href="mailto:clyntr@spbguam.com">clyntr@spbguam.com</a></td>
</tr>
<tr>
<td><a href="mailto:colleenw@guamlegislature.org">colleenw@guamlegislature.org</a></td>
</tr>
<tr>
<td><a href="mailto:committee@frankaguonjr.com">committee@frankaguonjr.com</a></td>
</tr>
<tr>
<td><a href="mailto:communications@guam.gov">communications@guam.gov</a></td>
</tr>
<tr>
<td><a href="mailto:conedera@mikelimitaco.com">conedera@mikelimitaco.com</a></td>
</tr>
<tr>
<td><a href="mailto:cor@guamlegislature.org">cor@guamlegislature.org</a></td>
</tr>
<tr>
<td><a href="mailto:coy@senatorada.org">coy@senatorada.org</a></td>
</tr>
<tr>
<td><a href="mailto:cyrus@senatorada.org">cyrus@senatorada.org</a></td>
</tr>
<tr>
<td><a href="mailto:dcrisost@guam.gannett.com">dcrisost@guam.gannett.com</a></td>
</tr>
<tr>
<td><a href="mailto:desori623@hotmail.com">desori623@hotmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:dleddy@guamchamber.com.gu">dleddy@guamchamber.com.gu</a></td>
</tr>
<tr>
<td><a href="mailto:dmgeorge@guampdn.com">dmgeorge@guampdn.com</a></td>
</tr>
<tr>
<td><a href="mailto:dtamondong@guampdn.com">dtamondong@guampdn.com</a></td>
</tr>
<tr>
<td><a href="mailto:duenasenator@gmail.com">duenasenator@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:ed@tonyada.com">ed@tonyada.com</a></td>
</tr>
<tr>
<td><a href="mailto:edelynn1130@hotmail.com">edelynn1130@hotmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:editor@nvguam.com">editor@nvguam.com</a></td>
</tr>
<tr>
<td><a href="mailto:editor@saipantribune.com">editor@saipantribune.com</a></td>
</tr>
<tr>
<td><a href="mailto:edpoaigue@judiwonpat.com">edpoaigue@judiwonpat.com</a></td>
</tr>
<tr>
<td><a href="mailto:elaine@tinaunabarnes.com">elaine@tinaunabarnes.com</a></td>
</tr>
<tr>
<td><a href="mailto:emqcho@gmail.com">emqcho@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:ewinston@yahoo.com">ewinston@yahoo.com</a></td>
</tr>
<tr>
<td><a href="mailto:eo@guamrealtors.com">eo@guamrealtors.com</a></td>
</tr>
<tr>
<td><a href="mailto:etajalle@guamlegislature.org">etajalle@guamlegislature.org</a></td>
</tr>
<tr>
<td><a href="mailto:evelyn4families@gmail.com">evelyn4families@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:fbtorres@judiwonpat.com">fbtorres@judiwonpat.com</a></td>
</tr>
<tr>
<td><a href="mailto:floterlaie@gmail.com">floterlaie@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:frank@judiwonpat.com">frank@judiwonpat.com</a></td>
</tr>
<tr>
<td><a href="mailto:frank@nvguam.com">frank@nvguam.com</a></td>
</tr>
<tr>
<td><a href="mailto:gdumat-ol@guampdn.com">gdumat-ol@guampdn.com</a></td>
</tr>
<tr>
<td><a href="mailto:gerry@nvguam.com">gerry@nvguam.com</a></td>
</tr>
<tr>
<td><a href="mailto:gerrypartido@gmail.com">gerrypartido@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:gina@nvguam.com">gina@nvguam.com</a></td>
</tr>
<tr>
<td><a href="mailto:gktv23@hotmail.com">gktv23@hotmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:guam@pstripes.osd.mil">guam@pstripes.osd.mil</a></td>
</tr>
<tr>
<td><a href="mailto:guamnativesun@yahoo.com">guamnativesun@yahoo.com</a></td>
</tr>
<tr>
<td><a href="mailto:gusaflague@senatormorrison.com">gusaflague@senatormorrison.com</a></td>
</tr>
<tr>
<td><a href="mailto:hans@guam-shinbun.com">hans@guam-shinbun.com</a></td>
</tr>
<tr>
<td><a href="mailto:hill.brucke@abc.net.au">hill.brucke@abc.net.au</a></td>
</tr>
<tr>
<td><a href="mailto:hottips@kuam.com">hottips@kuam.com</a></td>
</tr>
<tr>
<td><a href="mailto:info@chinesetimesguam.com">info@chinesetimesguam.com</a></td>
</tr>
<tr>
<td><a href="mailto:janela@nvguam.com">janela@nvguam.com</a></td>
</tr>
<tr>
<td><a href="mailto:jason@judiwonpat.com">jason@judiwonpat.com</a></td>
</tr>
<tr>
<td><a href="mailto:jason@kuam.com">jason@kuam.com</a></td>
</tr>
<tr>
<td><a href="mailto:jean@tinaunabarnes.com">jean@tinaunabarnes.com</a></td>
</tr>
<tr>
<td><a href="mailto:jennifer.lj.dulla@gmail.com">jennifer.lj.dulla@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:jennifer@nvguam.com">jennifer@nvguam.com</a></td>
</tr>
<tr>
<td><a href="mailto:jimspaldon@yahoo.com">jimspaldon@yahoo.com</a></td>
</tr>
<tr>
<td><a href="mailto:jmesgong.senatorodrodriquez@gmail.com">jmesgong.senatorodrodriquez@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:joan@kuam.com">joan@kuam.com</a></td>
</tr>
<tr>
<td><a href="mailto:joe@toduguan.com">joe@toduguan.com</a></td>
</tr>
<tr>
<td><a href="mailto:john.calvo@noaa.gov">john.calvo@noaa.gov</a></td>
</tr>
<tr>
<td><a href="mailto:john@kuam.com">john@kuam.com</a></td>
</tr>
<tr>
<td><a href="mailto:jpmmanual@gmail.com">jpmmanual@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:jtenorio@guamcourts.org">jtenorio@guamcourts.org</a></td>
</tr>
<tr>
<td><a href="mailto:jtyquiengco@spbguam.com">jtyquiengco@spbguam.com</a></td>
</tr>
<tr>
<td><a href="mailto:julian.c.janssen@gmail.com">julian.c.janssen@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:jullette@senatorada.org">jullette@senatorada.org</a></td>
</tr>
<tr>
<td><a href="mailto:kai@spbguam.com">kai@spbguam.com</a></td>
</tr>
<tr>
<td><a href="mailto:karen@guamlegislature.org">karen@guamlegislature.org</a></td>
</tr>
<tr>
<td><a href="mailto:kcn.kelly@gmail.com">kcn.kelly@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:kelly.toves@mail.house.gov">kelly.toves@mail.house.gov</a></td>
</tr>
<tr>
<td><a href="mailto:kenny@kuam.com">kenny@kuam.com</a></td>
</tr>
<tr>
<td><a href="mailto:kevin@spbguam.com">kevin@spbguam.com</a></td>
</tr>
<tr>
<td>------------------------</td>
</tr>
<tr>
<td><a href="mailto:khmg@hbqguam.net">khmg@hbqguam.net</a></td>
</tr>
<tr>
<td><a href="mailto:koreannews@guam.net">koreannews@guam.net</a></td>
</tr>
<tr>
<td><a href="mailto:koreatv@kuensou.guam.net">koreatv@kuensou.guam.net</a></td>
</tr>
<tr>
<td><a href="mailto:kstokish@gmail.com">kstokish@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:kstonews@ite.net">kstonews@ite.net</a></td>
</tr>
<tr>
<td><a href="mailto:law@guamag.org">law@guamag.org</a></td>
</tr>
<tr>
<td><a href="mailto:life@guampdn.com">life@guampdn.com</a></td>
</tr>
<tr>
<td><a href="mailto:lmatthews@guampdn.com">lmatthews@guampdn.com</a></td>
</tr>
<tr>
<td><a href="mailto:lou4families@gmail.com">lou4families@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:louella@mvguam.com">louella@mvguam.com</a></td>
</tr>
<tr>
<td><a href="mailto:louise@tonyada.com">louise@tonyada.com</a></td>
</tr>
<tr>
<td><a href="mailto:m.salaila@yahoo.com">m.salaila@yahoo.com</a></td>
</tr>
<tr>
<td><a href="mailto:mabuhaynews@yahoo.com">mabuhaynews@yahoo.com</a></td>
</tr>
<tr>
<td><a href="mailto:mahquenene@guam.net">mahquenene@guam.net</a></td>
</tr>
<tr>
<td><a href="mailto:malainse@gmail.com">malainse@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:maria.pangelinan@gec.guam.gov">maria.pangelinan@gec.guam.gov</a></td>
</tr>
<tr>
<td><a href="mailto:maryfejeran@gmail.com">maryfejeran@gmail.com</a></td>
</tr>
<tr>
<td>mary@roryfor Guam.com</td>
</tr>
<tr>
<td><a href="mailto:mbordallo.duenas@gmail.com">mbordallo.duenas@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:ncariison@guamlegislature.org">ncariison@guamlegislature.org</a></td>
</tr>
<tr>
<td><a href="mailto:mepherson.kathryn@abc.net.au">mepherson.kathryn@abc.net.au</a></td>
</tr>
<tr>
<td><a href="mailto:media@frankaguonjr.com">media@frankaguonjr.com</a></td>
</tr>
<tr>
<td><a href="mailto:menchu@toduguan.com">menchu@toduguan.com</a></td>
</tr>
<tr>
<td><a href="mailto:mike.lidia@senatorbcrz.com">mike.lidia@senatorbcrz.com</a></td>
</tr>
<tr>
<td><a href="mailto:mike@mikelimitiaco.com">mike@mikelimitiaco.com</a></td>
</tr>
<tr>
<td><a href="mailto:mindy@kuam.com">mindy@kuam.com</a></td>
</tr>
<tr>
<td><a href="mailto:mis@guamlegislature.org">mis@guamlegislature.org</a></td>
</tr>
<tr>
<td><a href="mailto:miseke@mvguam.com">miseke@mvguam.com</a></td>
</tr>
<tr>
<td><a href="mailto:mlwheeler2000@yahoo.com">mlwheeler2000@yahoo.com</a></td>
</tr>
<tr>
<td><a href="mailto:mmfnas@guamlegislature.org">mmfnas@guamlegislature.org</a></td>
</tr>
<tr>
<td><a href="mailto:monty.mcdowell@amiguan.com">monty.mcdowell@amiguan.com</a></td>
</tr>
<tr>
<td><a href="mailto:mspeps4873@gmail.com">mspeps4873@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:mvariety@pticom.com">mvariety@pticom.com</a></td>
</tr>
<tr>
<td><a href="mailto:mwatanabe@guampdn.com">mwatanabe@guampdn.com</a></td>
</tr>
<tr>
<td><a href="mailto:news@guampdn.com">news@guampdn.com</a></td>
</tr>
<tr>
<td><a href="mailto:news@spbguam.com">news@spbguam.com</a></td>
</tr>
<tr>
<td><a href="mailto:nick@kuam.com">nick@kuam.com</a></td>
</tr>
<tr>
<td><a href="mailto:norman.aguirar@guamcc.edu">norman.aguirar@guamcc.edu</a></td>
</tr>
<tr>
<td><a href="mailto:nsantos@guamlegislature.org">nsantos@guamlegislature.org</a></td>
</tr>
<tr>
<td><a href="mailto:odneirairkl@guampdn.com">odneirairkl@guampdn.com</a></td>
</tr>
<tr>
<td><a href="mailto:office@senatorada.org">office@senatorada.org</a></td>
</tr>
<tr>
<td><a href="mailto:oliviampalacios@gmail.com">oliviampalacios@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:onlyonguan@acuedink.com">onlyonguan@acuedink.com</a></td>
</tr>
<tr>
<td><a href="mailto:pacificjournalist@gmail.com">pacificjournalist@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:parroyo@k57.com">parroyo@k57.com</a></td>
</tr>
<tr>
<td><a href="mailto:pdkprg@gmail.com">pdkprg@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:pecte@tonyada.com">pecte@tonyada.com</a></td>
</tr>
<tr>
<td><a href="mailto:phillipsguam@gmail.com">phillipsguam@gmail.com</a></td>
</tr>
<tr>
<td><a href="mailto:policy@frankaguonjr.com">policy@frankaguonjr.com</a></td>
</tr>
<tr>
<td><a href="mailto:publisher@glimpsesofguam.com">publisher@glimpsesofguam.com</a></td>
</tr>
<tr>
<td><a href="mailto:qduenas_8@yahoo.com">qduenas_8@yahoo.com</a></td>
</tr>
<tr>
<td><a href="mailto:rennae@guamlegislature.org">rennae@guamlegislature.org</a></td>
</tr>
<tr>
<td><a href="mailto:responsibleguam@gmail.com">responsibleguam@gmail.com</a></td>
</tr>
</tbody>
</table>
November 4, 2013

Sent via Electronic Mail
jpeterson@uguam.uog.edu

Dr. John Peterson
Assistant Vice President
Graduate Studies, Research and Sponsored Programs
University of Guam
UOG Station
Mangilao, Guam 96923

Re: Invitation for Testimony on Bill No. 190-32 (COR)

Dear Dr. Peterson:

Håfa Adai! The Committee on General Government Operations and Cultural Affairs will conduct a Public Hearing of Bills beginning at 10:00AM on Thursday, November 14, 2013, in the 1 Liheslatura Public Hearing Room, 155 Hesler St., Hagåtña, Guam.

With respect to your experience and perspective, the Committee invites you to provide testimony on the following important matter:

Bill No. 190-32 (COR) – B.J.F. Cruz / J.T. Won Pat, Ed.D. / A.A. Yamashita, Ph.D. – An act to a new Chapter 28 to Division 2 of Title 17 Guam Code Annotated relative to the establishment of the Research Corporation, University of Guam (RCUOG).

If you wish to provide written testimony, please transmit it at least one day prior to the hearing. Please send testimony to the Office of Vice Speaker Benjamin J.F. Cruz, 155 Hesler Place, Hagåtña, Guam 96910. It may also be sent via facsimile to 477-2522, or via email to senator@senatorbjcruz.com.

We comply with Title II of the Americans with Disabilities Act (ADA). Should you require assistance or special accommodations, please contact Mr. Carlo Branch at 477-2521 or via email at carlo.branch@senatorbjcruz.com.

Respectfully,

[Signature]

Benjamin J.F. Cruz
November 4, 2013

Sent via Electronic Mail
raunderwood@uguam.uog.edu

Dr. Robert A. Underwood
President
University of Guam
UOG Station
Mangilao, Guam 96923

Re: Invitation for Testimony on Bill No. 190-32 (COR)

Dear Dr. Underwood:

Håfa Adai! The Committee on General Government Operations and Cultural Affairs will conduct a Public Hearing of Bills beginning at 10:00AM on Thursday, November 14, 2013, in the I Liheslatura Public Hearing Room, 155 Hesler St., Hagåtña, Guam.

With respect to your experience and perspective, the Committee invites you to provide testimony on the following important matter:

Bill No. 190-32 (COR) - B.J.F. Cruz / J.T. Won Pat, Ed.D. / A.A. Yamashita, Ph.D. - An act to a new Chapter 28 to Division 2 of Title 17 Guam Code Annotated relative to the establishment of the Research Corporation, University of Guam (RCUOG).

If you wish to provide written testimony, please transmit it at least one day prior to the hearing. Please send testimony to the Office of Vice Speaker Benjamin J.F Cruz, 155 Hesler Place, Hagåtña, Guam 96910. It may also be sent via facsimile to 477-2522, or via email to senator@senatorbjcruz.com.

We comply with Title II of the Americans with Disabilities Act (ADA). Should you require assistance or special accommodations, please contact Mr. Carlo Branch at 477-2521 or via email at carlo.branch@senatorbjcruz.com.

Respectfully,

[Signature]

Benjamin J.F. Cruz
PUBLIC HEARING AGENDA
Thursday, November 14, 2013
I Liheslatura • Public Hearing Room • Hagåtña, Guam

Public Hearing of Bills – 10:00AM

Bill No. 190-32 (COR) – B.J.F. Cruz / J.T. Won Pat, Ed.D. / A.A. Yamashita, Ph.D. – An act to a new Chapter 28 to Division 2 of Title 17 Guam Code Annotated relative to the establishment of the Research Corporation, University of Guam (RCUOG).

Bill No. 201-32 (COR) – B.J.F. Cruz – An act to add a new §5141 to Chapter 5 of Title 5 of the Guam Code Annotated; relative to establishing system of training, continuing education, and certification for government of Guam procurement personnel.

Bill No. 212-32 (COR) – T.R. Muña Barnes / J.T. Won Pat, Ed.D. – An act to add a new Chapter 59A to Title 5 of the Guam Code Annotated, relative to the procuring, installing, operating, leasing, maintaining, refurbishing, and replacing of energy-efficient streetlights for the entire island of Guam also known as the “Island-Wide Energy Efficient Street Light Act of 2013”.

Confirmation Hearing – 2:00PM

The Executive Appointment of Dr. Judith S. Flores as Member of the Council on the Arts and Humanities Agency.

The Executive Appointment of Dr. Michael L. Bevacqua as Literature Arts Member of the Council on the Arts and Humanities Agency.
Cruz Introduces Legislation to Help Bring University’s Research Ideas to Market

Guam - Senator B.J. Cruz has introduced a measure that would establish a Research Corporation at the University of Guam to promote "the monetization of research through public-private partnerships."

The Senator explains that his Bill #190 would create a public 501(c)(3) corporation, separate from the University but under the management of its Board of Directors. The bill was drafted with UOG's input and introduced at the request of the University.

READ Bill #190 HERE

Senator Cruz says that the "Research Corporation of UOG" [RCUOG] would be "self-subsistent and spend only the money it earns through applied research."

The Senator says in his release that "UOG research has private sector value that can put Guam in the 'future' business. But in order to drive business, UOG must be ready to do business."

READ Senator Cruz’s release below:

Cruz Introduces Legislation to Help Bring University’s Research Ideas to Market

(September 22, 2013 - Hagåtña) Vice Speaker Benjamin J.F. Cruz has introduced legislation that establishes the Research Corporation of the University of Guam (RCUOG), an initiative that will enable improved management of federal grants and contracts and the monetization of research through public-private partnerships. With UOG President Dr. Robert Underwood at its helm, the corporation will be endowed to add new revenues to the University, improve commercialization of UOG research, and diversify the Guam’s economy.

"Research is driving the 21st century economy and without RCUOG, Guam will be left behind," said Cruz, who introduced Bill 190-32 (COR) with co-sponsors Speaker Judith T. Won Pat and Senator Aline A. Yamashita last Friday. "Every day universities lose federal and private sector money because they lack the speed and flexibility of a business corporation; this bill solves this problem for UOG."

Cruz’s measure, which was drafted with UOG and introduced at its request, will create a public 501(c)(3) corporation that operates separate and apart from the University. Under the management and control of its Board of Directors and in compliance with regulations set by the Bureau of Budget and Management Research and appropriate federal acquisition requirements, RCUOG will be self-subsistent, spending only the money it earns through applied research.

The new legislation will help the University translate annual research funding into increased revenues from commercial products and services. While UOG has seen revenues from grants and contracts amount to $49 million in 2011—a 29% increase from prior year figures—and the value of its federal grants and contracts double since 2004, the flexibility required for grants management and the agility necessary to grow grants and contracts to benefit the university and island as a whole are left to be desired.

"UOG research has private sector value that can put Guam in the 'future' business,” stated Cruz. “But in order to drive business, UOG must be ready to do business."
Bringing UOG research ideas to market

MONDAY, 23 SEP 2013 03:30AM BY LOUELLA LOSINIO | VARIETY NEWS STAFF  HITS 326

EMPHASIZING that "research is driving the 21st century economy," Vice Speaker Benjamin J.F. Cruz has introduced Bill 190, a measure that establishes the Research Corporation of the University of Guam (RCUOG).

"Without RCUOG, Guam will be left behind," Cruz said on the proposed legislation which he drafted and introduced at the request of the university.

With UOG President Dr. Robert Underwood at its helm, the "corporation will be endowed to add new revenues to the University, improve commercialization of UOG research, and diversify the Guam's economy," the bill stated.

Cruz’s measure creates a public 501(c)(3) corporation that operates separate and apart from the university and will enable improved management of federal grants and contracts as well as monetize research through public-private partnerships.

In addition, Bill 190 is expected to help the university translate annual research funding into increased revenues from commercial products and services.

"UOG research has private sector value that can put Guam in the 'future' business," Cruz stated. "But in order to drive business, UOG must be ready to do business," he added.

Cruz introduced Bill 190 last Friday, with co-sponsors Speaker Judith T. Won Pat and Sen. Aline A. Yamashita.

Self-subsistent

According to the bill, there are now more than 200 research corporations established by other states and jurisdictions or affiliated with universities which have resulted in a growth in grant and contract awards via "infrastructure, regulations and systems that are tailored to the research environment."

These states and jurisdiction drafted legislation that provided flexibility for grants management while at the same time, remaining in compliance with federal rules and regulations.

Since 2004, UOG has seen a doubling in value of its federal grants and contracts. In 2011, the bill noted, university revenues from grants and contracts amounted to $49 million – a 29 percent increase from 2010 figures.

Despite achieving these figures, Cruz said the flexibility required for grants management and the agility necessary to grow grants and contracts to benefit the university and the island as a whole are left to be desired.

"Every day, universities lose federal and private sector money because they lack the speed and flexibility of a business corporation. This bill solves this problem for UOG," the Vice Speaker said.

The proposed RCUOG will have a set of board of directors comprising of the UOG president, senior vice president of academic and student affairs, vice president of administration and finance, and several appointees.

According to the Vice Speaker’s office, "under the management and control of its board of directors and in compliance with regulations set by the Office of Management and Budget and appropriate federal acquisition requirements, RCUOG will be self-subsistent, spending only the money it earns through applied research."
The commercialization of UOG?

FRIDAY, 27 SEP 2013 03:05AM BY GERRY PARTIDO HIT9: 1072

ONE of the more intriguing bills that have been introduced lately came via Vice Speaker Benjamin J.F. Cruz who sponsored Bill 190, a measure that establishes the Research Corporation of the University of Guam (RCUOG).

The aim of the bill is pretty self-evident – to bring UOG research ideas to market and improve the commercialization of UOG research. In short, the idea is to monetize the university’s research with the end in view of increasing revenue to help UOG expand and fund the university’s other programs.

As the Vice Speaker pointed out, “research is driving the 21st century economy” and what institution is more qualified to lead research here than the center of higher learning in our island?

This concept of enabling universities and tapping their research for commercial and ultimately national economic ends is not really new. In the United States, in particular, the university has become a major asset in America’s battle for global competitiveness. U.S. universities have often come up with the technological breakthroughs that helped make America’s economy competitive, thanks to the incentive of big commercial payoffs. Examples of this include the fabled Palo Alto Research Center (PARC) which inspired the original Apple Macintosh or the symbiotic relationship between Silicon Valley and Stanford University. The billionaires of Silicon Valley often endow Stanford with the funding it needs to expand and improve its operations. In return, Stanford provides the steady stream of talent fueling Silicon Valley’s continued growth.

Duty

Derek Bok, the great former president of Harvard University, once wrote that the university had a civic duty, even perhaps a patriotic duty, to ally itself more closely with private industry in order to counteract foreign competition and improve a nation’s economy. Shortly after Bok’s article came out, numerous new university-industry connections were made which made breakthroughs in the vital fields of computers and biotechnology. Major universities and Fortune 500 corporations were regularly signing multi-year and multimillion-dollar research contracts to keep America in the cutting edge of research and development.

Many universities, facing cutbacks in federal research funding, not only welcomed corporate largesse but became increasingly aggressive in their efforts to get it. There is nothing wrong with this as university fundraising has long been an acceptable means of generating financing for university expansion.

Here on Guam, UOG President Robert Underwood has been very successful in raising funds for the university’s expansion, especially for the planned engineering school that has been deemed so vital to Guam’s progress. All over the world, universities of every stripe are doing the same thing in order to better serve the needs of its students and help out the economy of their respective states. Indeed, as Cruz was introducing his bill, Harvard, already the richest university in America, embarked on the biggest and most ambitious fundraising effort ever undertaken by a university, eyeing to raise a whopping $6.5 billion in donations to fund new academic initiatives and bolster its financial aid program. This surpasses the fundraising completed only last year by Stanford University, which raised a total of $6.2 billion in funds.

As Cruz’s Bill 190 points out, there are now more than 200 research corporations established by other states and jurisdictions or affiliated with universities which have resulted in a growth in grant and contract awards via “infrastructure, regulations, and systems that are tailored to the research environment.”

These states and jurisdictions drafted legislation that provided flexibility for grants management while remaining in compliance with federal rules and regulations.
The commercialization of UOG?

UOG itself has seen a doubling in the value of its federal grants and contracts since 2004. In 2011 alone, UOG revenues from grants and contracts amounted to $49 million – a 29 percent increase from 2010 figures.

**Sequestration**

Despite these commendable figures, the continuing budget sequestration in the U.S. could lead to a drastic reduction in the federal grants that UOG receives. For this reason, UOG needs a mechanism in place that would facilitate the monetization of its research through public-private partnerships that would make it less dependent on federal grants.

This is where Cruz’s proposed Research Corporation of the University of Guam comes in. “Without RCUOG, Guam will be left behind,” Cruz said on the proposed legislation which he drafted and introduced at the request of UOG.

Under the bill, the "corporation will be endowed to add new revenues to the University, improve commercialization of UOG research, and diversify the Guam’s economy."

The measure also creates a public 501(c)(3) corporation that operates separate and apart from the university and will enable improved management of federal grants and contracts as well as help the university translate annual research funding into increased revenues from commercial products and services.

“UOG research has private sector value that can put Guam in the ‘future’ business,” Cruz stated. “But in order to drive business, UOG must be ready to do business,” the Vice Speaker stressed.

Ready indeed! So far, Bill 190 has already drawn support from Cruz’s fellow lawmakers, among them co-sponsors Speaker Judith T. Won Pat and Sen. Aline A. Yamashita, who are themselves prominent educators.

A word of warning, though. This planned partnership with the private sector is commendable, however, UOG must not lose sight of its primary focus which is to serve the needs of the island and its people. With the profit motive of private enterprise and the big payoffs involved, there is a temptation to limit research and the university’s other academic initiatives to serve narrowly commercial ends. This is a mistake that UOG should try hard to avoid because it has the far more important mission of educating the future generations of youths on Guam.
September 24, 2013

VIA E-MAIL

john.rios@bbmr.guam.gov

John A. Rios
Director
Bureau of Budget & Management Research
P.O. Box 2950
Hagåtña, Guam 96910

RE: Request for Fiscal Notes—Bill Nos. 177-32(COR) through 180-32(COR); 184-32(COR) through 186-32(COR); and 188-32(COR) through 193-32(COR)

Hafa Adai Mr. Rios:

Transmitted herewith is a listing of I Mina’i rentai Dos na Lihelsaturan Guåhan’s most recently introduced bills. Pursuant to 2 GCA §9103, I respectfully request the preparation of fiscal notes for the referenced bills.

Si Yu’os ma’åse’ ior your attention to this matter.

Very Truly Yours,

Senator Rory J. Respicio
Chairperson, Committee on Rules

Attachments (1)

Cc: Clerk of the Legislature
<table>
<thead>
<tr>
<th>Bill Nos.</th>
<th>Sponsor</th>
<th>Title</th>
</tr>
</thead>
<tbody>
<tr>
<td>178-32 (COR)</td>
<td>Judith T. Won Pat, Ed.D., Tina R. Muna Barnes D.G. Rodriguez, Jr., Rory J. Respicio, Michael F.Q. San Nicolas Frank B. Aguon, Jr., Tommy Morrison</td>
<td>AN ACT TO APPROPRIATE THE REMAINING FUNDS ESCHEATED TO THE GENERAL FUND PRIOR TO END OF FISCAL YEAR 2013, PURSUANT TO §21116 OF CHAPTER 21, DIVISION 2, TITLE 5, GUAM CODE ANNOTATED, TO THE DEPARTMENT OF PUBLIC HEALTH &amp; SOCIAL SERVICES AND AUTHORIZED TO USE AS A LOCAL REQUIREMENT FOR THE MEDICAID PROGRAM.</td>
</tr>
<tr>
<td>180-32 (COR)</td>
<td>B.J.F. Cruz T. C. Ada</td>
<td>AN ACT TO AMEND SECTION 30102(a) OF CHAPTER 30, TITLE 5 GUAM CODE ANNOTATED, TO REQUIRE AGENCIES PERMITTED TO RETAIN COUNSEL OTHER THAN THE ATTORNEY GENERAL, TO HIRE UNCLASSIFIED, IN-HOUSE COUNSEL.</td>
</tr>
<tr>
<td>184-32 (COR)</td>
<td>Aline A. Yamashita, Ph.D., V. Anthony Ada, Brant T. McCreadie, Tommy Morrison, Michael T. Limtiaco, Chris M. Dueñas</td>
<td>AN ACT TO APPROPRIATE THE SUM OF THREE MILLION DOLLARS ($3,000,000) FROM SECTION 30 TAX REVENUES TO THE DEPARTMENT OF EDUCATION FOR THE IMPLEMENTATION OF PROVISIONS OF PUBLIC LAW NO. 31-29.</td>
</tr>
<tr>
<td>185-32 (COR)</td>
<td>Michael T. Limtiaco, Brant T. McCreadie, V. Anthony Ada, Chris M. Dueñas, Tommy Morrison, Aline A. Yamashita, Ph.D.</td>
<td>AN ACT TO APPROPRIATE THE SUM OF FOUR HUNDRED FORTY NINE THOUSAND, ONE HUNDRED NINeteen DOLLARS ($449,119) FROM SECTION 30 TAX REVENUES TO PURCHASE VEHICLES FOR THE GUAM POLICE DEPARTMENT.</td>
</tr>
<tr>
<td>186-32 (COR)</td>
<td>Tommy Morrison, Brant T. McCreadie, V. Anthony Ada, Chris M. Dueñas, Michael T. Limtiaco, Aline A. Yamashita, Ph.D.</td>
<td>AN ACT TO APPROPRIATE THE SUM OF THREE MILLION DOLLARS ($3,000,000) FROM SECTION 30 TAX REVENUES TO THE GUAM POLICE DEPARTMENT, THE GUAM FIRE DEPARTMENT, AND THE DEPARTMENT OF CORRECTIONS.</td>
</tr>
<tr>
<td>Bill No</td>
<td>Sponsor</td>
<td>Description</td>
</tr>
<tr>
<td>--------</td>
<td>---------</td>
<td>-------------</td>
</tr>
<tr>
<td>188-32 (COR)</td>
<td>T.R. MUÑA Barnes, B. J.F. Cruz</td>
<td>AN ACT TO ADD A NEW § 80.50 TO ARTICLE 2, CHAPTER 80, TITLE 9 GUAM CODE ANNOTATED KNOWN AS THE JUSTICE SAFETY VALVE ACT OF 2013 RELATIVE TO EMPOWERING THE COURTS OF GUAM TO DEPART FROM APPLICABLE MANDATORY MINIMUM SENTENCES UNDER SPECIFIC CONDITIONS, AND FOR OTHER PURPOSES.</td>
</tr>
<tr>
<td>189-32 (COR)</td>
<td>Dennis G. Rodriguez, Jr.</td>
<td>AN ACT TO FACILITATE THE RECRUITMENT OF HARD-TO-FILL ALLIED HEALTH PROFESSIONAL POSITIONS, BY AMENDING § 6229.14 OF CHAPTER 6, 4 GCA, AND SUBSECTION (a) OF § 12805, ARTICLE 8, CHAPTER 12, PART 1, 10 GCA.</td>
</tr>
<tr>
<td>190-32 (COR)</td>
<td>B.J.F. Cruz, Judith T. Won Pat, Ed.D., Aline A. Yamashita, Ph.D.</td>
<td>AN ACT TO ADD A NEW CHAPTER 28 TO DIVISION 2 OF TITLE 17 GUAM CODE ANNOTATED RELATIVE TO THE ESTABLISHMENT OF THE RESEARCH CORPORATION, UNIVERSITY OF GUAM (RCUOG).</td>
</tr>
<tr>
<td>191-32 (COR)</td>
<td>Frank B. Aguon, Jr.</td>
<td>AN ACT TO AMEND PUBLIC LAW 31-235, RELATIVE TO THE WOMEN'S REPRODUCTIVE HEALTH INFORMATION ACT OF 2012.</td>
</tr>
<tr>
<td>192-32 (COR)</td>
<td>B.J.F. Cruz</td>
<td>AN ACT TO ADD A NEW § 64.15, § 64.16, AND § 64.17 TO CHAPTER 64 OF TITLE 9 OF THE GUAM CODE ANNOTATED; RELATIVE TO THE PROHIBITION AND FORFEITURE OF ELECTRONIC MACHINES OR DEVICES TO CONDUCT SWEEPSTAKES GAMBLING.</td>
</tr>
<tr>
<td>193-32 (COR)</td>
<td>Dennis G. Rodriguez, Jr.</td>
<td>AN ACT TO AMEND SECTION 4 OF PUBLIC LAW 31-235 TO DELETE THE PROVISION REQUIRING THE &quot;PRINTED MATERIALS&quot; AND THE &quot;CHECKLIST CERTIFICATION&quot; TO UNDERGO THE RULE MAKING PROCESS PURSUANT TO THE ADMINISTRATIVE ADJUDICATION LAW.</td>
</tr>
</tbody>
</table>
AN ACT TO ADD A NEW CHAPTER 28 TO DIVISION 2 OF TITLE 17 GUAM CODE ANNOTATED RELATIVE TO THE ESTABLISHMENT OF THE RESEARCH CORPORATION, UNIVERSITY OF GUAM (RCUOG)

BE IT ENACTED BY THE PEOPLE OF GUAM:

Section 1. Legislative Intent and Findings. I Liheslaturan Guahan finds that the University of Guam has the capacity to grow and expand its economic, social and environmental benefits to the people of Guam and the region, while fulfilling its mission as the premier institution of higher education in the region, by engaging more in research and educational programs funded by private and federal sponsors, to include business development through commercialization of research and public-private partnerships. These private contracts and federal grants complement the government of Guam’s appropriation to the University by adding new revenues that assist in sustaining the University and enabling it to grow.
1 I Liheslaturan Guahan further finds that in 2011 University of Guam
2 revenues from grants and contracts amounted to $49 million, growing $11 million
3 (29%) over the prior year. Since 2004 the University has more than doubled the
4 value of federal grants and contracts. This rate of increase has led to challenges in
5 managing such remarkable growth and puts at risk the University's ability to seek
6 and achieve further grant and contract growth.
7
8 I Liheslaturan Guahan further finds that states and jurisdictions have
9 implemented over 200 research corporations at or affiliated with universities that
10 have led to dramatic growth in grant and contract awards through infrastructure,
11 regulations and systems that are tailored to the research environment. These states
12 have crafted legislation that provides best practice; the flexibility required for
13 grants management while remaining compliant with rules and regulations such as
14 federal OMB Circulars A-21 and A-133; and the agility needed to grow grants and
15 contracts to the benefit of their jurisdictions and constituents.
16
17 THEREFORE, it is the intent of I Liheslaturan Guahan to create a new
18 entity, to be called the Research Corporation of the University of Guam (RCUOG).
19
20 Section 2. A new Chapter 28 is hereby added to Division 3 of Title 17
21 Guam Code Annotated to read as follows:
22
23 “Chapter 28.
24 RESEARCH CORPORATION OF THE UNIVERSITY OF GUAM
25 (RCUOG)
26
27 §2801. Establishment of the Research Corporation.
28 §2802. Purpose.
29 §2803. Administration."
§2801. Establishment of the Research Corporation. There is established as a body corporate, the Research Corporation of the University of Guam ("RCUOG", "Corporation"). The Corporation shall be a 501(c)(3) public corporation incorporated on Guam and shall operate as an integral part of the University of Guam ("University", "UOG").

§2802. Purpose. The purposes of the Corporation shall include, but not be limited to, the promotion of assigned educational, scientific, and literary pursuits of the University of Guam’s Grants and Contracts by:

(a.) encouraging, initiating, aiding, developing, and conducting training, research, and study in the physical, biological, and social sciences, humanities and all other branches of learning;

(b.) encouraging and aiding in the education and training of persons for the conduct of the training, investigations, research, and study;

(c.) furnishing the means, methods, and agencies by which the training, investigation, research, and study may be conducted, in support of the mission and best practices of the University of Guam;
(d.) assisting in the dissemination of knowledge by establishing, aiding, and maintaining professorships or other staff positions, fellowships, scholarships, publications, and lectures;

(e.) engaging in other means of making the benefits of training, investigations, research, and study available to the public; and

(f.) taking any and all other actions reasonably designed to promote these purposes in the interest of promoting the general welfare of the people of the Guam.

§2803. Administration. The affairs of the Corporation shall be under the general management and control of the RCUOG Board of Directors.

(a.) Composition of Board of Directors. The Board of Directors shall consist of up to ten members, as follows:

(1) Ex Officio Chief Executive Officer – University of Guam President;

(2) Ex Officio Member – Senior Vice President of Academic and Student Affairs;

(3) Ex Officio Member – Vice President of Administration and Finance;

(4) UOG President Appointee of up to four (4) Members (minimum of two representing the community at large);

(5) UOG Research Council Appointee of one Member;

(6) UOG Faculty Senate Appointee of one Member; and

(7) UOG Board of Regents Appointees of two UOG Regent Members.
(b.) All members of the Board of Directors shall serve without pay, but shall be entitled to reimbursement for necessary expenses while attending meetings and while in the discharge of duties and responsibilities.

(c.) The Chairperson of the Board of Directors shall be the President, University of Guam. The Senior Vice President and Vice President for Administration and Finance shall serve as ex-officio members of the Board.

§2804. Officers and Employees of the Research Corporation.

(a.) RCUOG will follow all the requirements applicable to each major grant program and maintain internal control over compliance in accordance with OMB Circulars A-133 and A-21, and appropriate Federal Acquisition Requirements.

(b.) RCUOG employees shall be governed by the RCUOG Board of Directors. Rules and regulations governing the selection, compensation, promotion, performance evaluation, disciplinary action and other terms and conditions of employment, affecting RCUOG personnel shall be adopted by the Board. The Board may establish or abolish positions as necessary for the purposes herein.

(c.) The Board of Directors shall appoint the Executive Director of RCUOG.

(d.) The RCUOG Board of Directors shall establish an employment policy for the Research Corporation as necessary in administering the affairs of the RCUOG.

(e.) Notwithstanding any other provision of law, rule, or regulation, employees of the Research Corporation shall be unclassified public employees. The Board of Directors shall set the employees’ duties, responsibilities, salaries, holidays, leaves, hours of work, and working conditions. The Board of Directors may grant such other benefits to its employees as it deems necessary and in accordance with RCUOG’s employment policy and federal employment laws.
(f.) The RCUOG Board of Directors shall promulgate rules and regulations for hiring, employment, procurement and property set forth by RCUOG Policy and the requirements applicable to each major grant program and on internal control over compliance in accordance with OMB Circulars A-133 and A-21, and Federal Acquisition Requirements.

(g.) Employees of the research corporation shall not be entitled to any benefits conferred under 4GCA PUBLIC OFFICERS AND EMPLOYEES CHAPTER 4 PERSONNEL POLICY AND THE CIVIL SERVICE COMMISSION relating to civil service, 4GCA PUBLIC OFFICERS AND EMPLOYEES CHAPTER 4 PERSONNEL POLICY AND THE CIVIL SERVICE COMMISSION relating to public service, 4GCA PUBLIC OFFICERS AND EMPLOYEES CHAPTER 8 RETIREMENT OF PUBLIC EMPLOYEES relating to pension and retirement systems, and the appropriate collective bargaining agreement, executive order, executive directive, or rule.

§2805. Authorized Activities. Notwithstanding any other provision of law, rule, or regulation, the RCUOG is authorized to conduct the following activities:

(a.) to create, adopt, amend, and repeal bylaws governing the conduct of its business and the exercise of the powers and performance of duties granted to it or imposed upon it by law;

(b.) to enter into and perform such contracts, leases, cooperative agreements, grants or other transactions on behalf of the University as it may be necessary to facilitate the conduct of the authorized activities described in sections herein;

(c.) to buy sell, lease, rent, hold, maintain, use and operate any property, real, personal, or mixed, tangible or intangible, in accordance with the conditions under which it was received and under the purposes of the RCUOG;

(d.) to submit each year for the approval of the board of directors an annual budget; such budget will include projected income, including indirect/Facilities...
and Administrative revenue and a cost of operating expenses of the Corporation as well as the distribution plan of the net proceeds for the University’s use, which shall include a reasonable corporate reserve; the allocation of indirect/Facilities and Administrative cost will be determined by the Board of Directors and following UOG-BOR Resolution 08-40;

(e.) to receive through University policies and procedures by gifts, grants, devises, bequests, or otherwise from private or public sources, any property, real personal, or mixed, intangible or tangible, absolutely or in trust, to be used and disposed of, either the principal or the income therefrom, in accordance with the conditions under which it was received; except that no gift to the Corporation shall be accepted unless approved or confirmed by the RCUOG Board of Directors;

(f.) to facilitate multi-year contracts;

(g.) to have a corporate seal;

(h.) to sue and to be sued in its own name and to indemnify the University against losses incurred specific to that activity;

(i.) to serve as trustee or beneficiary under terms of any gift, indenture, or will;

(j.) to apply for, take out, receive by purchase or gift, hold, administer, and dispose of copyrights, patent rights, licenses, assignments of inventions, discoveries, processes, and other property, rights or interests therein, the income thereof, absolutely or subject to such conditions or trusts as may be attached thereto or be imposed thereon, and to obligate itself to perform and execute any and all such conditions or trusts in coordination with UOG’s Intellectual Property Policy;

(k.) to conduct research, studies, experiments, investigations, and tests in all fields of knowledge; to promote and develop the scientific and commercial value
of inventions, discoveries, and processes; and to make published, and distribute the
results thereof;

(l.) to do any and all other acts reasonably necessary to carry out the objects
and purposes of the Corporation and the University, as approved by the University
and the RCUOG Board of Directors;

(m.) to coordinate and correlate activities and projects of the Corporation
with the work of Guam agencies for the purpose of relating research work to the
economic development of Guam whenever practical or desirable;

(n.) to stimulate and promote cooperative research projects and activities;

(o.) to establish and maintain, or to assist in establishing and maintaining,
scholarships, fellowships, and professorships, and other staff positions for the
purpose of aiding in the acquisition and dissemination of knowledge and to enter
into agreements or contracts with other corporations, organizations, institutions, or
persons for this purpose and to pay the necessary and appropriate expenses
therefor;

(p.) to prepare, print, or publish any manuscript, research article, report,
study, discussion, reference, collection, or any pictorial or schematic representation
or group or collection thereof, whether it belongs to or is the work of any Guam
agency or its employees, or the University or one of its faculty members or
employees, or the Corporation or its employees, or a contractor of RCUOG. The
printing or publication may be accomplished through whatever person, company,
or agency is deemed most appropriate by the board of directors.

§2806. Procurement. RCUOG shall adopt a procurement policy approved
by RCUOG Board aligned with sound business practices and consistent with
federal program rules and regulations as applicable to grants, and contracts, such as
but not limited to OMB Circular A-21.
§2807. Annual Report. The Corporation shall submit an annual report to the University Of Guam Board of Regents, the Governor of Guam, and the Guam Legislature.

The report shall include but not be limited to the Corporation’s audited financial statements including its balance sheet, statement of income and expense, and statement of cash flows and progress and accomplishments during the year.

§2808. Dissolution. In the event of the dissolution of the Corporation, all of its property, real, personal, and mixed and wheresoever situated, shall vest immediately and absolutely in the University of Guam.

§2809. Special Account. The Corporation shall be authorized to set up a special account for depositing moneys received from either public or private contracts, or from private or public grants, awards, or gifts. All disbursements shall be drawn on the special account upon checks prepared and signed, as approved by the Executive Director of the RCUOG and at least one other RCUOG officer or employee authorized by the RCUOG Board of Directors.

§2810. RCUOG Excepted from certain Guam Laws. Unless otherwise specifically stated in law, RCUOG is excepted from the following Guam laws:

(a.) 5GCA GOVERNMENT OPERATIONS CH. 22 GENERAL FISCAL POLICIES AND CONTROLS relating to the management and regulation of expenditures with the use of public funds;

(b.) 4GCA PUBLIC OFFICERS AND EMPLOYEES CHAPTER 4 PERSONNEL POLICY AND THE CIVIL SERVICE COMMISSION relating to public employment and the Civil Service Commission;

(c.) [4GCA PUBLIC OFFICERS & EMPLOYEES CH 8 RETIREMENT OF PUBLIC EMPLOYEES] relating to pension and retirement systems;
§2811. Severability. If any provision of this Act, or the application thereof to any person or circumstances is held invalid, the invalidity does not affect other provisions or applications of the Act that can be given effect without the invalid provisions or application, and to this end the provisions of this Act are severable.