



Office of the Governor of Guam

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Felix Perez Camacho  
Governor

APR 29 2003

Kaleo Scott Moylan  
Lieutenant Governor

Office of the People's Speaker  
vicente (ben) c. pangelinan

APR 30 2003

The Honorable Vicente C. Pangelinan  
Speaker  
Mina' Bente Siete Na Liheslaturan Guåhan  
Twenty-Seventh Guam Legislature  
155 Hesler Street  
Hagåtña, Guam 96910

TIME: 3:09 (AM) (1) PM  
RECEIVED BY: *[Signature]*

Dear Speaker Pangelinan:

Transmitted herewith please find Bill No. 47 (COR) "AN ACT TO ADD §1520 TO TITLE 5, GUAM CODE ANNOTATED, RELATIVE TO AUTHORIZING THE GOVERNOR OF GUAM, *I MAGA'LAHEN GUAHAN*, TO ISSUE GOVERNMENT OF GUAM BONDS AND BOND ANTICIPATION NOTES FOR THE PURPOSE OF PAYING CERTAIN GOVERNMENT OF GUAM EXPENSES; AND TO AMEND FOR RELATED PURPOSES CERTAIN RELATED PROVISIONS OF LAW," which I have signed into law on April 28, 2003 as Public Law 27-19.

Mr. Speaker, *I Liheslaturan Guåhan* is to be commended for their efforts in the passage of Bill No. 47. Public Law 27-19 is an important component in addressing the accumulated deficit and provides us the opportunity to reorganize the government in a more manageable way. In this light, I will be transmitting this week the Fiscal Year 2004 budget that will provide the blueprint for a government reorganization and consolidation plan.

Mr. Speaker, I am pleased that Public Law 27-19 will allow the government to seek immediate cash infusion through an accelerated financing vehicle – the Bond Anticipation Note (BAN). Because the authorization of these funds will be effective when financing is approved by *I Liheslaturan Guåhan* for the bonds authorized, I will be proceeding with a sense of urgency to secure that final step. I am also urging that *I Liheslaturan Guahan* consider the following amendments:

1. To include the \$17,254,667 for Guam Memorial Hospital past due retirement contributions contained in my initial proposal. This will further address the unfunded liability issue and will give us a notch up on our credit rating currently up for review;

2. To include some \$20 Million to go towards the closure of the Ordot Landfill and the opening of a sanitary landfill. With EPA fines looming, this could become an obligation we will not be in a position to address;
3. To include some \$20 Million to fund Guam's share of FEMA funds towards recovery; the final amount needed will depend on approval of a waiver on immediate needs funding from Supertyphoon Pongsona; and
4. Lastly, to include at least \$6 Million for the Guam Visitor's Bureau for economic stimulus in light of substantially diminished revenues and the continuing uncertainties resulting from the Iraq War, the SARS threat, and North Korea's nuclear threat.

These amendments will go a long way in addressing both the accumulated deficit and this government's structural deficit. In addition, it will serve to strengthen our position with Standard & Poor's and potential bond insurers.

Mr. Speaker, our financial quagmire will continue to present new challenges in the days and months ahead. I am grateful for all your efforts and I continue to pledge my cooperation and that of my entire Administration in addressing the pressing issues facing our island community. I look forward to constructive deliberations during the upcoming budget talks.

Sincerely,



FELIX P. CAMACHO  
*I Maga'Lahen Guåhan*  
Governor of Guam

Attachment: copy attached of signed bill

cc: The Honorable Tina Rose Muña-Barnes  
Senator and Legislative Secretary

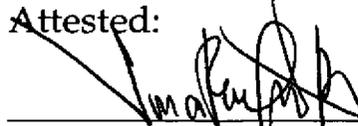
I MINA'BENTE SIETE NA LIHESLATURAN GUÅHAN  
2003 (FIRST) Regular Session

CERTIFICATION OF PASSAGE OF AN ACT TO I MAGA'LAHEN GUÅHAN

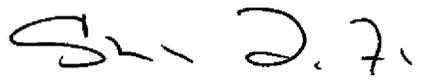
This is to certify that Bill No. 47 (COR), "AN ACT TO ADD §1520 TO TITLE 5, GUAM CODE ANNOTATED, RELATIVE TO AUTHORIZING THE GOVERNOR OF GUAM, I MAGA'LAHEN GUÅHAN, TO ISSUE GOVERNMENT OF GUAM BONDS AND BOND ANTICIPATION NOTES FOR THE PURPOSE OF PAYING CERTAIN GOVERNMENT OF GUAM EXPENSES; AND TO AMEND FOR RELATED PURPOSES CERTAIN RELATED PROVISIONS OF LAW," was on the 16<sup>th</sup> day of April, 2003, duly and regularly passed.

  
vicente (ben) c. pangelinan  
Speaker

Attested:

  
Tina Rose Muña-Barnes  
Senator and Legislative Secretary

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This Act was received by *I Maga'lahaen Guahan* this 16 day of April, 2003, at 5:35 clock  
P.M.

  
Assistant Staff Officer  
*Maga'lahaen's Office*

APPROVED:

  
FELIX P. CAMACHO  
*I Maga'lahaen Guahan*

Date: April 28, 2003

Public Law No. 27-19

MINA'BENTE SIETE NA LIHESLATURAN GUÅHAN  
2003 (FIRST) Regular Session

**Bill No. 47 (COR)**

As amended by the Committee on  
Economic Development, Retirement,  
Investments, Public Works & Regulatory  
Functions, and as amended in the  
Committee of the Whole.

Introduced by:

Committee on Economic  
Development, Retirement,  
Investments, Public Works &  
Regulatory Functions

By request of *I Maga'lahen  
Guåhan*, the Governor of Guam,  
in accordance with the Organic  
Act of Guam, as *amended*

AN ACT TO ADD §1520 TO TITLE 5, GUAM CODE  
ANNOTATED, RELATIVE TO AUTHORIZING THE  
GOVERNOR OF GUAM, *I MAGA'LAHEN GUÅHAN*,  
TO ISSUE GOVERNMENT OF GUAM BONDS AND  
BOND ANTICIPATION NOTES FOR THE PURPOSE  
OF PAYING CERTAIN GOVERNMENT OF GUAM  
EXPENSES; AND TO *AMEND* FOR RELATED  
PURPOSES CERTAIN RELATED PROVISIONS OF  
LAW.

1        **BE IT ENACTED BY THE PEOPLE OF GUAM:**

2        **Section 1. Legislative Findings and Intent.** *I Liheslaturan Guåhan*  
3 hereby finds that the accumulated General Fund deficit will result in current  
4 revenues available in the General Fund being insufficient to pay certain  
5 obligations of the General Fund and that a mechanism is needed to provide

1 the necessary cash to the General Fund to pay such obligations until a surplus  
2 of current revenues over current expenditures can retire the deficit. *I*  
3 *Liheslaturan Guåhan* hereby also finds that through the issuance of bond  
4 anticipation notes and bonds as provided herein the government can fund its  
5 current needs while retiring the deficit over time. *I Liheslaturan Guåhan*  
6 therefore hereby authorizes the issuance of bond anticipation notes and bonds  
7 as provided herein, provides for said notes and bonds to be used to finance  
8 the deficit so that it may be retired over time, and amends certain related  
9 provisions of law.

10 **Section 2.** A new Section 1520 is hereby *added* to Title 5, Guam Code  
11 Annotated, to read as follows:

12 **“§1520. Authorization to Issue Bonds for Deficit Financing.**

13 (a) **Authorization of Issuance of Bonds.** The Governor of  
14 Guam, *I Maga’lahen Guåhan*, is authorized to issue one or more  
15 series of bonds of the government of Guam as provided in this  
16 Section, in an aggregate principal amount not to exceed the  
17 amount necessary to provide Two Hundred Eighteen Million  
18 Three Hundred Nine Thousand Eight Hundred Fifty-Seven  
19 Dollars (\$218,309,857) for the payment of the General Fund  
20 expenses listed in subsection (m) (other than subsection (m)(vii) of  
21 this Section) and in an aggregate principal amount necessary to  
22 provide Two Hundred Million Dollars (\$200,000,000) for the  
23 payment of the General Fund expenses listed in subsection  
24 (m)(vii), and in each case to fund necessary reserves and to pay

1 expenses incurred in connection with the issuance of such bonds  
2 not already included in an existing appropriation for or the  
3 regular budget of any government agency or instrumentality or  
4 public corporation providing any service in connection with the  
5 issuance of such bonds; provided, however, that bonds may not  
6 be issued in an amount that would cause a violation of the debt  
7 limitation provisions of 48 USC 1423a (§11 of the Organic Act of  
8 Guam).

9 (b) **Authorization of Issuance of Bond Anticipation Notes.**

10 The Governor, *I Maga'lahaen Guåhan*, is also authorized to issue one  
11 or more series of bond anticipation notes of the government of  
12 Guam as provided in this Section, in an aggregate principal  
13 amount not to exceed the amount necessary to provide Fifty  
14 Million Dollars (\$50,000,000) for any of the purposes for which the  
15 bonds described in subsection (a) of this Section are authorized.  
16 Unless otherwise expressly provided, the term "bonds" as used in  
17 this Section shall include the notes, but the term "notes" shall be  
18 limited to the bond anticipation notes. Participation of banks  
19 doing business on Guam shall not be restricted. The authorization  
20 in this subsection (b) for the issuance of the Bond Anticipation  
21 Notes shall be effective when the financing is approved by *I*  
22 *Liheslaturan Guåhan* for the bonds authorized in subsection (a) of  
23 this Section 1520.

1           (c) **Terms and Conditions Determined by Certificate or**  
2 **Indenture.** The terms and conditions of the bonds shall be as  
3 determined by *I Maga'lahaen Guåhan* by the execution of a  
4 certificate or indenture authorizing the issuance of the bonds upon  
5 or prior to the issuance of the bonds; provided, however, that such  
6 terms and conditions shall be consistent with this Section, and that  
7 the bonds shall mature not later than the year 2024, and shall bear  
8 interest at such rates and be sold for such price or prices as shall  
9 result in a yield to the bondholders not exceeding six and one-half  
10 percent (6.5%) per annum.

11           (d) **Valid and Binding Limited Obligations.** Except as  
12 may be determined by *I Maga'lahaen Guåhan* in accordance with  
13 this subsection (d), any bonds authorized by this Section shall  
14 constitute the valid and legally binding limited obligations of the  
15 government of Guam payable from and secured by a pledge of the  
16 revenues described in subsection (e) of this Section. Any notes  
17 authorized by this Section may also be payable from and secured  
18 by a pledge of the proceeds of the bonds authorized by this  
19 Section. As determined by *I Maga'lahaen Guåhan*, any bonds  
20 authorized by this Section may constitute the valid and binding  
21 general obligations of the government of Guam. To the extent *I*  
22 *Maga'lahaen Guåhan* makes such a determination (i) the government  
23 of Guam pledges its full faith and credit for the punctual payment  
24 of both principal of and interest on the bonds and covenants that

1           there shall be collected annually in the same manner and at the  
2           same time as government revenue for other purposes is collected,  
3           such sum as is required to pay the principal of and interest on the  
4           bonds, (ii) such pledge shall be valid and binding from the time  
5           the pledge is made, and (iii) there are hereby appropriated from  
6           the General Fund such sums as will equal in each year the amount  
7           of money necessary to pay the principal and interest on such  
8           bonds. All officers charged by law with any duty in the collection  
9           of the revenues of the government from which debt service on the  
10          bonds will be payable shall do every lawful thing necessary to  
11          collect such sum. The validity of any such bonds shall not be  
12          affected by the validity or regularity of any proceedings for the  
13          payment of the General Fund expenses funded by the bonds.

14                 (e) **Source of and Security for Payment.** Except as may be  
15                 determined by *I Maga'lahaen Guåhan* in accordance with subsection  
16                 (d) of this Section, the bonds shall be made payable solely from,  
17                 and shall be secured solely by a pledge of, any or all of the  
18                 revenues derived by the government of Guam from taxes against  
19                 persons on account of their businesses and other activities in  
20                 Guam whether now or hereafter imposed or levied by the  
21                 government pursuant to Section 26201 of Chapter 26 of Division 2  
22                 of Title 11, Guam Code Annotated or any other provision of law  
23                 (collectively, the "Revenues") and shall not be payable from or  
24                 secured by any other source or sources of revenue except as may  
25                 be authorized by statute, except that the notes may also be

1 payable from and secured by a pledge of the proceeds of the  
2 bonds. Any such pledge made hereunder shall be valid and  
3 binding from the time the pledge is made. The revenues pledged  
4 and thereafter received by the government of Guam or by any  
5 trustee, depository or custodian shall be deposited in a separate  
6 account and shall be immediately subject to the lien of such  
7 pledge without any physical delivery thereof or further act, and  
8 the lien of such pledge shall be valid and binding against all  
9 parties having claims of any kind in tort, contract or otherwise  
10 against the government of Guam or such trustee, depository or  
11 custodian, irrespective of whether the parties have notice thereof.  
12 The indenture or certificate by which such pledge is created need  
13 not be recorded. Any such pledge may be made subordinate to the  
14 use of Revenues to pay existing general obligation debts of the  
15 government of Guam.

16 (f) **Appropriation of Revenues.** All Revenues are hereby  
17 appropriated for the purposes of paying the principal and interest  
18 on the bonds issued pursuant to this Section and the obligations  
19 incurred by the government pursuant to subsections (j) and (k) of  
20 this Section. Revenues released from the lien of the indenture or  
21 certificate pursuant to which the bonds are issued shall be  
22 available for appropriation by *I Liheslaturan Guåhan* for any other  
23 lawful purpose.

24 (g) **Additional Bonds.** Nothing in this Section shall be  
25 construed to prevent the government of Guam from issuing, after

1 appropriate enabling legislation, other obligations of the  
2 government secured by revenues on a parity with or subordinate  
3 to the bonds authorized by this Section on such terms as may be  
4 provided by the indenture or certificate pursuant to which the  
5 bonds are issued. Nothing in this Section shall be construed to  
6 prevent the government of Guam from issuing, after appropriate  
7 enabling legislation, other obligations of the government secured  
8 by the general obligation of the government on a parity with or  
9 subordinate to the bonds authorized by this Section on such terms  
10 as may be provided by the indenture or certificate pursuant to  
11 which the bonds are issued.

12 (h) **Waiver of Immunity; Submission to Jurisdiction.**  
13 Notwithstanding any substantive or procedural provision of  
14 Chapter 6 of Title 5, Guam Code Annotated, the government of  
15 Guam waives immunity from any suit or action in contract on the  
16 bonds, but does not waive sovereign immunity as to the personal  
17 liability of elected officials and employees of the government of  
18 Guam. The government hereby submits to jurisdiction of the  
19 Federal District Court for the District of Guam for purposes of any  
20 such suit or action in contract on the bonds.

21 (i) **No Personal Liability.** No employee or elected official  
22 of the government of Guam shall be individually or personally  
23 liable for the payment of any amounts due on any bonds issued  
24 under this Section, or for any other liability arising in connection  
25 with the bonds; provided, however, that nothing in this Section

1 shall relieve any employee or elected official from the  
2 performance of any ministerial duty required by law.

3 (j) **Form of Bonds; Covenants; Appointment of**  
4 **Fiduciaries.** The technical form and language of the bonds,  
5 including provisions for execution, exchange, transfer,  
6 registration, paying agency, lost or mutilated bonds, negotiability,  
7 cancellation and other terms or conditions not inconsistent with  
8 this Section, including covenants relating to the collection of  
9 revenues, shall be as specified in the certificate or indenture  
10 executed by the Governor, *I Maga'lahaen Guahan*, authorizing the  
11 issuance of the bonds. The certificate or indenture shall appoint  
12 one or more trustees, co-trustees or other fiduciaries authorized to  
13 receive and hold in trust the proceeds of the bonds and moneys  
14 relating thereto, to protect the rights of bondholders and to  
15 perform such other duties as may be specified in the indenture.  
16 The Governor, *I Maga'lahaen Guahan*, is also authorized to execute,  
17 on behalf of the government of Guam, any appropriate  
18 agreements, certificates or other instruments relating to the bonds  
19 and the sale of bonds.

20 (k) **Authorization for Credit Enhancement.** The Governor,  
21 *I Maga'lahaen Guahan*, is authorized to enter into such contracts or  
22 agreements with such banks, insurance companies or other  
23 financial institutions as he determines are necessary or desirable  
24 to improve the security and marketability of the bonds issued

1 under this Section. Such contracts or agreements may contain an  
2 obligation to reimburse, with interest, any such banks, insurance  
3 companies or other financial institutions for advances used to pay  
4 principal of or interest on the bonds and to indemnify any such  
5 banks, insurance companies or other financial institutions for costs  
6 and expenses incurred in connection with any such advance. Any  
7 such reimbursement obligation and any other obligations of the  
8 government under such contracts or agreements shall be payable  
9 solely from, and secured solely by a pledge of, revenues or in the  
10 case of any such obligations related to bonds determined by *I*  
11 *Maga'lahaen Guåhan* to be general obligations of the government of  
12 Guam in accordance with subsection (d) of this Section, may be  
13 general obligations of the government of Guam as determined by *I*  
14 *Maga'lahaen Guåhan*, and any such advance, if necessary, shall be  
15 treated for Organic Act purposes as creating an obligation issued  
16 to refund the bonds.

17 (l) **Use of Proceeds from the Sale of the Bonds.** The  
18 proceeds from the sale of the bonds shall be used to (i) to retire  
19 any previously issued notes authorized under Subsection (b) of  
20 this §5102, (ii) pay the General Fund expenses described in  
21 subsection (m) of this §5102 and which are otherwise appropriated  
22 by the Legislature, *I Liheslaturan Guåhan*, (iii) establish necessary  
23 reserves, (iv) pay expenses relating to the authorization, sale and  
24 issuance of the bonds, including, without limitation, printing

1 costs, costs of reproducing documents, credit enhancement fees,  
2 underwriting, legal, financial advisory and accounting fees and  
3 charges, fees paid to banks or other financial institutions  
4 providing credit enhancement, costs of credit ratings and other  
5 costs, charges and fees in connection with the issuance, sale and  
6 delivery of the bonds, and (v) fund capitalized interest on the  
7 bonds for a period ending not later than thirty (30) months.

8 (m) **General Fund Expenses.** The General Fund expenses  
9 authorized to be paid with the proceeds of the bonds are as  
10 follows:

11 (i) **income tax refund payments** **\$139,200,000**

12 *2001 individual income tax refund \$39,500,000; 2000 and prior*  
13 *years estimated individual income tax refund \$2,700,000 and*  
14 *estimated corporate refund \$25,000,000; 2002 estimated*  
15 *individual and corporate tax refund \$72,000,000;*

16 (ii) **utility payments to Guam Power Authority** **\$30,450,800**

17 *payments owed by Department of Education \$15,284,200;*  
18 *payments owed by Department of Public Works \$13,324,650;*  
19 *payments owed by Guam Memorial Hospital Authority*  
20 *\$1,841,950\* (\*denotes amounts to be offset against monies owed*  
21 *for the Medically Indigent Program to GMH);*

22 (iii) **retirement fund payments** **\$25,285,057**

23 *payments owed by the General Fund for Supplemental Annuities*  
24 *\$5,086,734; payments owed by the General Fund for retirement*



1 this Section and the funding of any capitalized interest on the  
2 notes) shall be used first, to make past due utility payments to the  
3 Guam Power Authority in an amount up to Ten Million Dollars  
4 (\$10,000,000), second, to make income tax refund payments.

5 (n) **Permitted Investments.** The proceeds of the bonds, and  
6 any revenues relating to such bonds, may be invested in, but only  
7 in, the types of investments permitted by the certificate or  
8 indenture pursuant to which such bonds are issued.

9 (o) **Approval by Guam Economic Development and**  
10 **Commerce Authority.** §50103(k), Title 12, Guam Code Annotated,  
11 provides that agencies and instrumentalities of the government of  
12 Guam shall issue bonds and other obligations only by means of  
13 and through the agency of the Guam Economic Development and  
14 Commerce Authority ("GEDCA"). No issue of bonds authorized  
15 by this Section shall be sold until the board of directors of GEDCA  
16 has adopted a resolution approving the sale of such issue.

17 (p) **Approval of Voters Not Required.** Notwithstanding  
18 Sections 17311 and 17312 of Article 3, Chapter 17 of Title 3 of the  
19 Guam Code Annotated, the issuance of the bonds authorized by  
20 this Section shall not be required to be subject to the approval of,  
21 or placed before, the voters of Guam."

22 **Section 3. Local Sales of Bonds.** The Governor, *I Maga'lahaen Guåhan*,  
23 shall undertake his best efforts to cause a portion of any bonds issued  
24 pursuant to Section 2 of this Act (§1520, Title 5, Guam Code Annotated), to be

1 offered for sale to residents of Guam, as well as to residents of other  
2 jurisdictions, if and to the extent that such offer and any sales resulting from  
3 such offer do not increase the costs to the government of Guam of issuing and  
4 repaying such bonds.

5       **Section 4. Approval of Bonds and Bond Anticipation Notes.** The  
6 Legislature, *I Liheslaturan Guåhan*, pursuant to §50103(k), Title 12, Guam Code  
7 Annotated, hereby approves the issuance and sale by the government of  
8 Guam of bonds (not including any bond anticipation notes authorized under  
9 Section 2 of this Act) for the purposes and in the principal amounts not to  
10 exceed the purpose and limits set forth in Section 2 of this Act; provided that  
11 the conditions to the issuance of such bonds shall have been met, such bonds  
12 have a final maturity not later than the year 2024, bear interest at such rate  
13 and are sold for such price or prices as shall result in a yield to the  
14 bondholders not exceeding six and one-half percent (6.5%) per annum, and  
15 are issued and sold in the manner, for the purposes and subject to the  
16 requirements and limitations provided in §1520, Title 5, Guam Code  
17 Annotated.

18       The Legislature, *I Liheslaturan Guåhan*, pursuant to §50103(k), Title 12,  
19 Guam Code Annotated, hereby also approves the issuance and sale by the  
20 government of Guam of limited obligation bond anticipation notes authorized  
21 under Section 2 of this Act in a principal amount not to exceed Fifty Million  
22 Dollars (\$50,000,000); provided that the conditions to the issuance of such  
23 bonds shall have been met, such bonds have a final maturity not later than the  
24 year 2024, bear interest at such rate and are sold for such price or prices as  
25 shall result in a yield to the bondholders not exceeding six and one-half

1 percent (6.5%) per annum, and are issued and sold in the manner, for the  
2 purposes and subject to the requirements and limitations provided in §1520,  
3 Title 5, Guam Code Annotated.

4 The approvals set forth in this Section are subject to the subsequent  
5 approval by the Legislature, *I Liheslaturan Guåhan*, by statute, of the  
6 substantially final form of the certificate or indenture described in subsection  
7 (c) of §1520, added by this Act to Title 5, Guam Code Annotated.

8 **Section 5.** Section 26208, Article 2, Chapter 26, Title 11, Guam Code  
9 Annotated, is hereby *amended* to read as follows:

10 **“§26208. Creation of School Operations Fund.** There is hereby created,  
11 separate and apart from other funds of the government of Guam, a fund  
12 known as the ‘School Operations Fund’. This Fund shall *not* be commingled  
13 with the General Fund and shall be kept in a separate bank account. The  
14 monies in the School Operations Fund shall be used to cover the operational  
15 expenses of the Guam Department of Education and all schools in the Guam  
16 Public School System administered by that Department as well as any use  
17 associated with the Guam Public School System, as determined by the laws of  
18 Guam. The Department of Administration shall deposit funds, at such times  
19 and in such amounts as may be specified by *I Liheslaturan Guåhan*, in the  
20 School Operations Fund. Beginning with the Fiscal Year 2000 budget, all  
21 appropriations from the School Operations Fund to the Department of  
22 Education shall be subject to the approval of *I Liheslaturan Guåhan*.”

23 **Section 6.** GEDCA charges and fees in connection with the funding,  
24 issuance, sale and delivery of the debts or bonds pursuant to this Act shall be

1 limited to the first One Hundred Thousand Dollars (\$100,000.00) of such  
2 charges and fees, and any amount from fees and charges over One Hundred  
3 Thousand Dollars (\$100,000.00) is hereby appropriated to the Department of  
4 Education (DOE) to be used exclusively to meet the matching requirements of  
5 the Federal Emergency Management Agency (FEMA) grants for the repair of  
6 DOE facilities. GEDCA's share of said charges and fees shall not be used for  
7 payments of any contracts related to lobbying services.

8       **Section 7.** The proceeds of the bonds authorized to pay General Fund  
9 expenses pursuant to §1520(m)(i) of Title 5, Guam Code Annotated, shall be  
10 deposited directly into the Income Tax Refund Efficiency Payment Trust  
11 Fund, as established by Public Law 26-74.

12       **Section 8.** The proceeds of bond anticipation notes and bonds as  
13 provided in this Act shall not be subject to any transfer authority of *I*  
14 *Maga'lahaen Guåhan*.